# Entropy and Hilbert Spaces from Gravitational Path Integrals 

Based on: arXiv:2310.02189 with Xi Dong, Donald Marolf and Zhencheng Wang

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## Motivations

Entropy via the Euclidean path integral

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$$

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\longrightarrow S=\beta \frac{d S_{E}}{d \beta}-S_{E}
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## Entropy via the Euclidean path integral

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\end{gathered}
$$

> Gravitational system?

> guess:
> (Hamiltonian in gravity is a boundary term)

$$
Z=\operatorname{Tr}\left(e^{-\beta H}\right)=\iint_{S^{1} \times \partial \Sigma} \mathcal{D} g e^{-S_{E}}
$$

## Motivations

Indeed, for the Euclidean black hole:

$$
\begin{gathered}
Z=\operatorname{Tr}\left(e^{-\beta H}\right) \approx e^{-S_{E}} \\
\Rightarrow \quad S=-S_{E}+\beta \frac{d S_{E}}{d \beta}=\frac{A_{\mathrm{horizon}}}{4 G}
\end{gathered}
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Bekenstein-Hawking
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Bekenstein-Hawking entropy

Note: this is a special case! $\rho=e^{-\beta H}$ equilibrium state

time-translation symmetry!

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$$
\text { Replica trick: } \quad S_{v N}\left(\rho_{R}\right)=-\underline{\operatorname{Tr}\left(\rho_{R} \ln \rho_{R}\right)}=\lim _{n \rightarrow 1}-\frac{1}{n-1} \ln \underbrace{\operatorname{Tr}\left(\rho_{R}^{n}\right)}_{\text {easier! }} \underbrace{}_{\begin{array}{c}
\text { which boundary conditions } \\
\text { for a gravitational system? }
\end{array}}
$$

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## Motivations

Lewkowycz, Maldacena (2013)

$\Longrightarrow S_{R}=\frac{A_{\gamma}}{4 G} \quad \frac{\text { Ryu-Takayanagi formula }}{\text { (first derived in AdS/CFT) }}$

> Holography does not enter the derivation, but
$\Rightarrow$ It is required for the interpretation as standard entropy, i.e. $S_{R}=-\operatorname{Tr}\left(\rho_{R} \ln \rho_{R}\right)$

## Motivations



Implication for the case of Hawking radiation from AdS to a bath:
In appropriate semiclassical limits, the von Neumann entropy of the bath is given by the island formula, a special case of the quantum-corrected RT formula, and follows the Page curve.
[Penington 2019; Almheiri, Engelhardt, Marolf, Maxfield 2019; Penington, Shenker, Stanford, Yang 2019; Almheiri, Hartman, Maldacena, Shaghoulian, Tajdini 2019]
$\checkmark$ Possible solution to the bulk interpretation problem: the gravitational replica trick computes the entropy of the emitted Hawking radiation in a superselection sector. [Marolf, Maxfield, 2020]

Although inspired from AdS/CFT, the argument relies only on properties of the gravitational path integral!
Can this story be generalized?

## Motivations

> Consider a gravitational system with closed asymptotic boundaries $B_{L}$ and $B_{R}$


The Hilbert space $\mathcal{H}_{L R}$ for this two-boundary gravitational system a priori does not factorize
$>$ If the gravitational system has a holographic dual, $\mathcal{H}_{L R}=\mathcal{H}_{L} \otimes \mathcal{H}_{R}$ and we can then associate a "state-counting" entropy to $B_{L}$ and $B_{R}$. But we are not going to assume holography.
$>$ Goal: construct, from purely-bulk arguments, a Hilbert space $\mathcal{H}_{L}$ associated with $B_{L}$ such that the associated Ryu-Takayanagi entropy can be understood in terms of a standard trace on $\mathcal{H}_{L}$ :

$$
S_{\mathrm{vN}}\left(\rho_{L}\right):=-\operatorname{Tr}_{L}\left(\rho_{L} \ln \rho_{L}\right)
$$

## Motivations

> Recent works [Chandrasekaran, Longo, Penington, Witten, Jensen, Sorce, Speranza, Kudler-Flam ,Leutheusser, Satishchandran, ...] have shown that, in various contexts, the Ryu-Takayanagi entropy can be derived (up to an infinite constant) as the entropy of a type II von Neumann algebra. This provides a "statistical interpretation" for the RT entropy (thanks to the type II trace).
> For a standard quantum mechanical system, we have an entropy in terms of a Hilbert space trace, which provides a "state-counting interpretation". A Hilbert space trace corresponds to a type Itracer $(\cdot)=\sum_{i}\langle i| \cdot|i\rangle$
$\Rightarrow$ Can we understand the Ryu-Takayanagi entropy in terms of a Hilbert space trace, i.e. as a state-counting entropy?


## THIS TALK:

In a UV-complete, asymptotically locally AdS theory of quantum gravity in which the Euclidean path integral satisfies a simple set of axioms, it is possible to associate a von Neumann entropy to $B_{L}$ which, in the semiclassical limit, is given by the Ryu-Takayanagi formula. No need to invoke holography!

## Outline

1. Axioms for the Euclidean Path Integral
2. Hilbert Space from the Path Integral
3. Operator Algebras from the Path Integral
4. Type I von Neumann Factors
5. Hilbert Space Interpretation of the Ryu-Takayanagi Entropy
6. Axioms for the Euclidean Path Integral

## The Euclidean Gravitational Path Integral

We consider a UV-complete finite-coupling asymptotically-locally-AdS theory of gravity with an 'Euclidean path integral', an object that, to every closed codimension-1 boundary $M$ (with appropriate boundary conditions), assigns a complex number; e.g.


$$
\begin{aligned}
& \phi=g, \phi^{\text {matter }} \\
& M \supset g_{M}, \phi_{M}^{\text {matter }}
\end{aligned}
$$

"source manifold"

Should be: finite, continuous, and $[\zeta(M)]^{*}=\zeta\binom{M^{*}}{\downarrow}$
complex conjugated sources

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## Axioms

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4. Continuity: if the boundary manifold contains a cylinder of size $\varepsilon, \zeta$ is continuous under changes of $\varepsilon$
5. Factorization: For closed boundary manifolds $M_{1}, M_{2}$ we have $\zeta\left(M_{1} \sqcup M_{2}\right)=\zeta\left(M_{1}\right) \zeta\left(M_{2}\right)$

Note: if the path integral is equivalent to a collection of "baby universe superselection sectors" [Coleman, Giddings, Strominger, Marolf, Maxfield, ...] the factorization property holds sector-by-sector, and our analysis applies in that sense.

# 2. Hilbert Space from the Path Integral 

## Hilbert Space from the Path Integral

When we "cut open a quantum gravity path integral", we cut the closed boundary into two pieces $N_{1}, N_{2}$ with $\partial N_{1}=\partial N_{2}$, then associate states with these two pieces such that

$M_{N_{1}^{*} N_{2}}$

$\partial N$


$$
\left\langle N_{1} \mid N_{2}\right\rangle:=\zeta\left(M_{N_{1}^{*} N_{2}}\right)
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$$

The gluing of surfaces should
$>$ be uniquely determined $\Rightarrow$ points on $\partial N$ labelled
$>$ produce smooth manifolds $\Rightarrow \partial N$ comes with a rim:


## Hilbert Space from the Path Integral



$$
Y_{\partial N}^{d}
$$

compact $d$-dim manifolds with boundary $(+$ rim $) \partial N$


## Hilbert Space from the Path Integral

$N_{1}$

$$
Y_{\partial N}^{d}
$$

compact $d$-dim manifolds with boundary $(+$ rim $) \partial N$


$$
\left\langle N_{1} \mid N_{2}\right\rangle:=\zeta\left(M_{N_{1}^{*} N_{2}}\right)
$$

$$
+\quad / \mathcal{N}_{\partial N}
$$

$$
+ \text { completion }
$$

$$
=\mathcal{H}_{\partial N}
$$


3. Operator Algebras from the Path Integral

## Surface Algebra

## Consider $\partial N=B_{L} \sqcup B_{L}$



## Surface Algebra

> On the set $Y_{B \sqcup B}^{d}$ we define a left product and a right product:




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> On the set $Y_{B \sqcup B}^{d}$ we define a left product and a right product:

$>$ The set $Y_{B \sqcup B}^{d}$ equipped with the left/right product defines a left/right surface algebra $A_{L / R}^{B}$
$>$ Star operation:


## Surface Algebra



## Surface Algebra

trace and trace inequality

The path integral defines a trace operation on the surface algebras:
$\operatorname{tr}(a):=\zeta(M(a))$


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The path integral defines a trace operation on the surface algebras:

$$
\operatorname{tr}(a):=\zeta(M(a))
$$

From the dictionary between rimmed surfaces and states:


$$
\operatorname{tr}\left(a^{\star} a\right)=\zeta\left(M\left(a^{\star} a\right)\right)=\langle a \mid a\rangle \underset{\uparrow}{\text { Axiom } 3}
$$

## Surface Algebra

trace and trace inequality
We can use $a, b \in Y_{B \sqcup B}^{d}$ to define elements of $Y_{(B \sqcup B) \sqcup(B \sqcup B)}^{d}$


$$
|\mathbb{U}\rangle:=\left|a_{L_{2} R_{1}}, b_{L_{1} R_{2}}\right\rangle
$$

## Surface Algebra

trace and trace inequality

$$
\langle\cup \cup \mid \cup \cup\rangle=\langle\mathbb{U} \mid \Psi\rangle=\langle a \mid a\rangle\langle b \mid b\rangle=\operatorname{tr}\left(a^{\star} a\right) \operatorname{tr}\left(b^{\star} b\right)
$$



From the Cauchy-Schwarz inequality (consequence of positivity of the inner product on $H_{B_{L_{1}}, B_{R_{1}}, B_{L_{2}}, B_{R_{1}}}$ ):

$$
\begin{aligned}
& |\langle ய \mid \cup \cup\rangle| \leq| | \cup \cup\rangle|||ய\rangle| \\
\Longrightarrow & \operatorname{tr}\left(a a^{\star} b b^{\star}\right) \leq \operatorname{tr}\left(a^{\star} a\right) \operatorname{tr}\left(b^{\star} b\right)
\end{aligned}
$$



## Representation on the Hilbert Space

We define a representation of the left surface algebra on the Hilbert space: given $a \in A_{L}^{B}$ there is an associated operator $\hat{a}_{L} \in \hat{A}_{L}$ such that

$$
\hat{a}_{L}|b\rangle=\left|a \cdot{ }_{L} b\right\rangle=|a b\rangle
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These operators are bounded:

$$
\left.\left|\hat{a}_{L}\right| b\right\rangle\left.\right|^{2}=\langle a b \mid a b\rangle=\operatorname{tr}\left(a^{\star} a b b^{\star}\right) \leq \operatorname{tr}\left(a^{\star} a\right) \operatorname{tr}\left(b b^{\star}\right)=\operatorname{tr}\left(a^{\star} a\right)\langle b \mid b\rangle
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\left.\left.\left|\hat{a}_{L}\right| b\right\rangle\left.\right|^{2}=\langle a b \mid a b\rangle=\operatorname{tr}\left(a^{\star} a b b^{\star}\right) \underset{\uparrow}{\text { trace inequality }} \text { ( } \operatorname{tr}^{\star} a\right) \operatorname{tr}\left(b b^{\star}\right)=\operatorname{tr}\left(a^{\star} a\right)\langle b \mid b\rangle
$$



We can similarly define a representation of the right surface algebra:


## Diagonal Sectors

$$
B_{1}=B_{2}=B
$$



$$
C_{\beta}=\underbrace{}_{\beta} \backsim \in \mathcal{H}_{B \sqcup B}
$$

We can define a trace operation on $\hat{A}_{L}$ via the path integral trace on the surface algebra thanks to the continuity axiom:

$$
\operatorname{tr}(a)=\lim _{\beta \downarrow 0}\left\langle C_{\beta}\right| \hat{a}_{L}\left|C_{\beta}\right\rangle
$$

## 4. Type I von Neumann Factors

## The von Neumann algebras $\mathcal{A}_{L}^{B}, \mathcal{A}_{R}^{B}$

$>$ We define the von Neumann algebra $\mathcal{A}_{L}^{B}$ to be the closure of $\hat{A}_{L}$ within $\mathcal{B}\left(\mathcal{H}_{L R}\right)$ in the weak operator topology

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$>$ The trace is

- Faithful $\operatorname{tr}(a)=0$ iff $a=0$
- Normal for any bounded increasing sequence $a_{n}$, $\operatorname{tr} \sup a_{n}=\sup \operatorname{tr} a_{n}$
- Semifinite $\forall a \in \mathcal{A}^{+}, \exists b<a$ such that $\operatorname{tr}(b)<\infty$


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- Semifinite $\forall a \in \mathcal{A}^{+}, \exists b<a$ such that $\operatorname{tr}(b)<\infty$
$>$ The trace inequality holds on the von Neumann algebra! (an extension of the 4-boundaries argument applies)


## Type I factors

$\Rightarrow$ Trace inequality $\operatorname{tr}(a b) \leq \operatorname{tr}(a) \operatorname{tr}(b)$ for $a=b=P \in \mathcal{A}_{L}^{B} \Longrightarrow \operatorname{tr}(P) \geq 1$

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Some known results on von Neumann algebras:

- every von Neumann algebra is a direct sum of factors (algebras with trivial center) which can be type I, II or III
- there is no faithful, normal and semifinite trace on type III $\Rightarrow$ we cannot have type III
- on type II, for any faithful, normal and semifinite trace there are non trivial projections with arbitrarily small trace $\Rightarrow$ we cannot have type II
$\Rightarrow$ we have only type I factors!


## Type I factors

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- on type II, for any faithful, normal and semifinite trace there are non trivial projections with arbitrarily small trace $\Rightarrow$ we cannot have type II
$\Rightarrow$ we have only type I factors!
$>$ The commutation theorem for semifinite traces then tells us that $\mathcal{A}_{L}^{B}, \mathcal{A}_{R}^{B}$ are commutants on $\mathcal{H}_{B \sqcup B}$


## Type I factors

> The central operators of $\mathcal{A}_{L}^{B}$ have purely discrete spectrum.
$>$ Simultaneously diagonalise all central operators of $\mathcal{A}_{L}^{B}$ yields eigenspaces $\mathcal{H}_{B \sqcup B}^{\mu}$ such that

$$
\mathcal{H}_{B \sqcup B}=\bigoplus_{\mu} \mathcal{H}_{B \sqcup B}^{\mu}
$$

> As a result, the von Neumann algebras decomposes as

$$
\mathcal{A}_{L}^{B}=\bigoplus_{\mu} \bigoplus_{\downarrow} \mathcal{A}_{L, \mu}^{B}
$$

$>$ The algebra $\mathcal{A}_{L}^{\mu}$ is a type I factor with commutant $\mathcal{A}_{R}^{\mu}$ on $\mathcal{H}_{B \sqcup B}^{\mu}$, therefore

$$
\mathcal{H}_{B \sqcup B}=\bigoplus_{\mu} \mathcal{H}_{B \sqcup B, L}^{\mu} \otimes \mathcal{H}_{B \sqcup B, R}^{\mu}
$$

## 5. Hilbert Space Interpretation of the Ryu-Takayanagi Entropy

## Trace Normalization

> Faithful, normal, semifinite traces on type I algebras are unique up to an overall normalization constant, thus

$$
\mathcal{C}_{\mu} \operatorname{tr}(a)=\operatorname{Tr}_{\mu}(a):=\sum_{i}{ }_{L}\langle i| a|i\rangle_{L},
$$

$>$ For $a=P$ one-dimensional projection onto a state in $\mathcal{H}_{B \sqcup B, L}^{\mu}$ we have $\operatorname{Tr}_{\mu}(P)=1$

$$
\Longrightarrow \operatorname{tr}(P)=1 / \mathcal{C}_{\mu}
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| $1 \underset{\uparrow}{\leq} \operatorname{tr}(P)=1 / \mathcal{C}_{\mu} \Longrightarrow \mathcal{C}_{\mu} \leq 1$ |  |  |
| :---: | :---: | :---: |
| positivity of the inner product on $\mathcal{H}_{B \sqcup B \sqcup B \sqcup B}$ | $\operatorname{tr}(P) \geq 1$ | $\operatorname{tr}(P)=0$ |
| positivity of the inner product on $\mathcal{H}_{\sqcup_{i=1}^{n}(B \sqcup B)}$ | $\operatorname{tr}(P) \geq n-1$ | $\operatorname{tr}(P)=0,1, \cdots, n-2$ |

## Hidden Sectors

$>$ For any non-zero finite-dimensional projection $P \in \mathcal{A}_{L}^{B}$ the trace $\operatorname{tr}(P)$ is a positive integer.

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$>$ We define the extended Hilbert space factors:

$$
\begin{gathered}
\widetilde{\mathcal{H}}_{B \sqcup B, L / R}^{\mu}:=\mathcal{H}_{B \sqcup B, L / R}^{\mu} \otimes \mathcal{H}_{n_{\mu}} \longrightarrow \widetilde{\mathcal{H}}_{B \sqcup B}:=\bigoplus_{\mu \in \mathcal{I}}\left(\widetilde{\mathcal{H}}_{B \sqcup B, L}^{\mu} \otimes \widetilde{\mathcal{H}}_{B \sqcup B, R}^{\mu}\right) \\
\downarrow \\
\text { "hidden sector" }
\end{gathered}
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\downarrow \\
\text { "hidden sector" }
\end{gathered}
$$

> Then

$$
\operatorname{tr}(a)=\tilde{\operatorname{Tr}}_{\mu}(\tilde{a}) \text { where } a \in \mathcal{A}_{L}^{\mu} \text { and } \tilde{a}:=\left(a \otimes 1_{n_{\mu}}\right)
$$

$\Rightarrow$ The hidden sectors allow to interpret the path integral trace as a Hilbert space trace

## State-counting Entropy

$>$ the trace $\operatorname{tr}$ can be used to define a notion of left entropy on pure states $|\psi\rangle \in \mathcal{H}_{B \sqcup B}$

$$
\tilde{\rho}_{\psi}=\oplus_{\mu}\left(p_{\mu} \tilde{\rho}_{\psi}^{\mu}\right) \quad \longrightarrow \quad S_{v N}^{L}(\psi)=\operatorname{tr}\left(-\tilde{\rho}_{\psi} \ln \tilde{\rho}_{\psi}\right)
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$$

$>$ Thanks to the relation between $\operatorname{tr}$ and $\tilde{\mathrm{Tr}}$ this entropy has a Hilbert space interpretation:

$$
S_{v N}^{L}(\psi)=\widetilde{\operatorname{Tr}}\left(-\tilde{\rho}_{\psi} \ln \tilde{\rho}_{\psi}\right)=\sum_{\mu \in \mathcal{I}} p_{\mu} \widetilde{\operatorname{Tr}}\left(-\tilde{\rho}_{\psi}^{\mu} \ln \tilde{\rho}_{\psi}^{\mu}\right)-\sum_{\mu \in \mathcal{I}} p_{\mu} \ln p_{\mu}
$$

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$$
S_{v N}^{L}(\psi)=\widetilde{\operatorname{Tr}}\left(-\tilde{\rho}_{\psi} \ln \tilde{\rho}_{\psi}\right)=\sum_{\mu \in \mathcal{I}} p_{\mu} \widetilde{\operatorname{Tr}}\left(-\tilde{\rho}_{\psi}^{\mu} \ln \tilde{\rho}_{\psi}^{\mu}\right)-\sum_{\mu \in \mathcal{I}} p_{\mu} \ln p_{\mu}
$$

$>$ We can compute this entropy via the replica trick:

$$
\operatorname{tr}\left(\tilde{\rho}_{\psi}^{n}\right)=\zeta\left(M\left(\left[\psi \psi^{\star}\right]^{n}\right)\right)
$$


> If the theory admits a semiclassical limit described by Einstein-Hilbert or JT gravity, then we can argue (by following Lewkowycz-Maldacena) that in such a limit the entropy is given by the Ryu-Takayanagi entropy

## Conclusions

> Any gravitational path integral satisfying the axioms defines von Neumann algebras of observables associated with codimension-2 boundaries: $\mathcal{A}_{L}^{B}, \mathcal{A}_{R}^{B}$
> These algebras contain only type I factors.
> The Hilbert space on which the algebras act decomposes as

$$
\mathcal{H}_{B \sqcup B}=\bigoplus_{\mu} \mathcal{H}_{B \sqcup B, L}^{\mu} \otimes \mathcal{H}_{B \sqcup B, R}^{\mu}
$$

> The path integral trace is equivalent to a standard trace on an extended Hilbert space, such that

$$
\operatorname{tr}(a)=\tilde{\operatorname{Tr}}_{\mu}(\tilde{a}) \text { where } \tilde{a}:=\left(a \otimes 1_{n_{\mu}}\right)
$$

$>$ This provides a Hilbert space interpretation of the entropy, even when the gravitational theory is not known to have a holographic dual:

$$
S_{v N}^{L}(\psi)=\widetilde{\operatorname{Tr}}\left(-\tilde{\rho}_{\psi} \ln \tilde{\rho}_{\psi}\right)=\sum_{\mu \in \mathcal{I}} p_{\mu} \widetilde{\operatorname{Tr}}\left(-\tilde{\rho}_{\psi}^{\mu} \ln \tilde{\rho}_{\psi}^{\mu}\right)-\sum_{\mu \in \mathcal{I}} p_{\mu} \ln p_{\mu}
$$

$>$ In the semiclassical limit, the entropy is given by the Ryu-Takayanagi formula.

Thanks for the attention!

