

Entropy and Hilbert Spaces from Gravitational Path Integrals

Based on: arXiv:2310.02189 with Xi Dong, Donald Marolf and Zhencheng Wang

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Entropy via the Euclidean path integral

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$$Z = \operatorname{Tr}(e^{-\beta H}) = \int_{S^1} \mathcal{D}q e^{-S_E} = \bigotimes_{S^1} \overset{\mathsf{s}^4}{\beta}$$

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Gravitational system?

(Hamiltonian in gravity is a boundary term)

guess:

boundary conditions

Indeed, for the Euclidean black hole:

$$Z = \operatorname{Tr}(e^{-\beta H}) \approx e^{-S_E}$$



$$\implies S = -S_E + \beta \frac{dS_E}{d\beta} = \frac{A_{\text{horizon}}}{4G}$$

Bekenstein-Hawking entropy

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Bekenstein-Hawking entropy

Note: this is a special case! $\rho=e^{-\beta H}$ equilibrium state



time-translation symmetry!

Without time-translation symmetry? Which boundary conditions?

Replica trick:
$$S_{vN}(\rho_R) = -\operatorname{Tr}(\rho_R \ln \rho_R) = \lim_{n \to 1} -\frac{1}{n-1} \ln \operatorname{Tr}(\rho_R^n)$$

QFT
 $e^{-S_2} = \operatorname{Tr}_R(\rho_R^2) = \bigvee_{\substack{R \in \mathbb{R} \\ | R \in \mathbb{R} \\ | Sew}} (2)$
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Without time-translation symmetry? Which boundary conditions?



Lewkowycz, Maldacena (2013)



- Holography does <u>not</u> enter the derivation, but
- > It is required for the interpretation as standard entropy, i.e. $S_R = -\text{Tr}(\rho_R \ln \rho_R)$



Implication for the case of **Hawking radiation** from AdS to a bath:

In appropriate semiclassical limits, the von Neumann entropy of the bath is given by the **island formula**, a **special case of the quantum-corrected RT formula**, and follows the **Page curve**.

[Penington 2019; Almheiri, Engelhardt, Marolf, Maxfield 2019; Penington, Shenker, Stanford, Yang 2019; Almheiri, Hartman, Maldacena, Shaghoulian, Tajdini 2019]

 Possible solution to the bulk interpretation problem: the gravitational replica trick computes the entropy of the emitted Hawking radiation in a superselection sector. [Marolf, Maxfield, 2020]

Although inspired from AdS/CFT, the argument relies only on properties of the gravitational path integral!

Can this story be generalized?

 \succ Consider a gravitational system with closed asymptotic boundaries B_L and B_R



> The Hilbert space \mathcal{H}_{LR} for this two-boundary gravitational system *a priori does not factorize*

- ➤ If the gravitational system has a holographic dual, $\mathcal{H}_{LR} = \mathcal{H}_L \otimes \mathcal{H}_R$ and we can then associate a "state-counting" entropy to B_L and B_R . But we are not going to assume holography.
- Sol: construct, from purely-bulk arguments, a Hilbert space \mathcal{H}_L associated with B_L such that the associated Ryu-Takayanagi entropy can be understood in terms of a standard trace on \mathcal{H}_L :

$$S_{\rm vN}(\rho_L) := -{\rm Tr}_L(\rho_L \ln \rho_L) \longrightarrow \rho_L = {\rm Tr}_R(\rho)$$

- Recent works [Chandrasekaran, Longo, Penington, Witten, Jensen, Sorce, Speranza, Kudler-Flam ,Leutheusser, Satishchandran, ...] have shown that, in various contexts, the Ryu-Takayanagi entropy can be derived (up to an infinite constant) as the entropy of a type II von Neumann algebra. This provides a "statistical interpretation" for the RT entropy (thanks to the type II trace).
- For a standard quantum mechanical system, we have an entropy in terms of a Hilbert space trace, which provides a "state-counting interpretation". A Hilbert space trace corresponds to a **type I trace** $f(\cdot) = \sum_{i} \langle i | \cdot | i \rangle$
- ⇒ Can we understand the Ryu-Takayanagi entropy in terms of a Hilbert space trace, i.e. as a state-counting entropy?



THIS TALK:

In a UV-complete, asymptotically locally AdS theory of quantum gravity in which the **Euclidean path integral** satisfies a **simple set of axioms**, it is possible to associate a **von Neumann entropy** to B_L which, in the semiclassical limit, is given by the Ryu-Takayanagi formula. No need to invoke holography!

Outline

- 1. Axioms for the Euclidean Path Integral
- 2. Hilbert Space from the Path Integral
- 3. Operator Algebras from the Path Integral
- 4. Type I von Neumann Factors
- 5. Hilbert Space Interpretation of the Ryu-Takayanagi Entropy

1. Axioms for the Euclidean Path Integral

The Euclidean Gravitational Path Integral

We consider a UV-complete finite-coupling asymptotically-locally-AdS theory of gravity with an 'Euclidean path integral', an object that, to every closed codimension-1 boundary *M* (with appropriate boundary conditions), assigns a complex number; e.g.

"source manifold"

$$()) \qquad \stackrel{\zeta}{\longrightarrow} \qquad ()) \qquad \qquad \zeta(M) = \int_{\phi \sim M} \mathcal{D}\phi e^{-S[\phi]} \qquad \qquad \phi = g, \phi^{\text{matter}} \\ M \supset g_M, \phi_M^{\text{matter}}$$

Should be: finite, continuous, and $[\zeta(M)]^* = \zeta(M^*) \bigvee$

complex conjugated sources

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$$\zeta(M) = \int_{\phi \sim M} \mathcal{D}\phi e^{-S[\phi]} \qquad \phi = g, \phi^{\text{matter}} \\ M \supset g_M, \phi_M^{\text{matter}} \\ \text{Should be: finite, continuous, and } [\zeta(M)]^* = \zeta(M^*) \\ \text{complex conjugated sources} \\ M \qquad (\psi_1) \\ = \langle \psi_1 | \psi_2 \rangle \text{ on } \mathcal{H}_{\partial \Sigma} \\ \frac{\partial \Sigma}{\text{surface}} \\ \psi_1 | \psi_2 \rangle \\ (\psi_1) \\ = \langle \psi_1 | \psi_2 \rangle \text{ on } \mathcal{H}_{\partial \Sigma} \\ \psi_2 \rangle \\ (\psi_1) \\ \psi_2 \rangle \\ (\psi_1) \\ \psi_2 \rangle \\ (\psi_2) \\ \psi_2 \rangle \\ (\psi_1) \\ \psi_2 \end{pmatrix} \\ (\psi_1) \\ \psi_2 \end{pmatrix} \\ (\psi_1) \\ (\psi_$$

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Should be: finite, continuous, and $[\zeta(M)]^* = \zeta(M^*)$
complex conjugated sources
$$M \qquad (\psi) \qquad (\psi$$

surface

X

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- 5. <u>Factorization</u>: For closed boundary manifolds M_1, M_2 we have $\zeta(M_1 \sqcup M_2) = \zeta(M_1)\zeta(M_2)$

Note: if the path integral is equivalent to a **collection of "baby universe superselection sectors"** [Coleman, Giddings, Strominger, Marolf, Maxfield, ...] the factorization property holds sector-by-sector, and our analysis applies in that sense.

When we "cut open a quantum gravity path integral", we cut the closed boundary into two pieces N_1 , N_2 with $\partial N_1 = \partial N_2$, then associate states with these two pieces such that



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The gluing of surfaces should

- ▶ be uniquely determined \Rightarrow points on ∂N labelled
- ▶ produce smooth manifolds $\Rightarrow \partial N$ comes with a **rim**:





$\begin{array}{c} Y^d_{\partial N} \\ \text{compact d-dim manifolds} \\ \text{with boundary (+rim) ∂N} \end{array}$

N

 $H_{\partial N}$

(pre-)Hilbert space

 $|N\rangle$

 $\langle N_1 | N_2 \rangle := \zeta(M_{N_1^* N_2})$





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 $\langle N_1 | N_2 \rangle := \zeta(M_{N_1^* N_2})$

+ $/\mathcal{N}_{\partial N}$

+ completion

$$=\mathcal{H}_{\partial N}$$

3. Operator Algebras from the Path Integral

Consider $\partial N = B_L \sqcup B_L$



> On the set $Y_{B \sqcup B}^d$ we define a left product and a right product:

 $a = \bigcup_{L} \qquad ()$ $b = \bigcup_{L} \qquad ()$ $L \qquad R$

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Star operation:



trace and trace inequality

The path integral defines a trace operation on the surface algebras:

 $\operatorname{tr}(a) := \zeta\left(M(a)\right)$



trace and trace inequality

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 $\operatorname{tr}(a) := \zeta\left(M(a)\right)$

From the dictionary between rimmed surfaces and states:



$$\operatorname{tr}(a^{\star}a) = \zeta \left(M(a^{\star}a) \right) = \langle a | a \rangle \ge 0$$
Axiom 3

trace and trace inequality

We can use $a, b \in Y^d_{B \sqcup B}$ to define elements of $Y^d_{(B \sqcup B) \sqcup (B \sqcup B)}$



trace and trace inequality

 $\langle \cup \cup | \cup \cup \rangle = \langle \boxtimes | \boxtimes \rangle = \langle a | a \rangle \langle b | b \rangle = \operatorname{tr}(a^* a) \operatorname{tr}(b^* b)$





From the Cauchy-Schwarz inequality (consequence of positivity of the inner product on $H_{B_{L_1},B_{R_1},B_{L_2},B_{R_1}}$):



$$\langle | \cup \cup \rangle \leq | \cup \cup \rangle | | | \cup \rangle$$

 $\implies \operatorname{tr}(aa^{\star}bb^{\star}) \leq \operatorname{tr}(a^{\star}a)\operatorname{tr}(b^{\star}b)$

Representation on the Hilbert Space

We define a representation of the left surface algebra on the Hilbert space: given $a \in A_L^B$ there is an associated operator $\hat{a}_L \in \hat{A}_L$ such that

$$\hat{a}_L \left| b \right\rangle = \left| a \cdot_L b \right\rangle = \left| a b \right\rangle$$



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These operators are **bounded**:

$$\begin{aligned} |\hat{a}_L|b\rangle|^2 &= \langle ab|ab\rangle = \operatorname{tr}(a^{\star}abb^{\star}) \leq \operatorname{tr}(a^{\star}a)\operatorname{tr}(bb^{\star}) = \operatorname{tr}(a^{\star}a)\langle b|b\rangle \\ &\uparrow \\ &\mathsf{trace inequality} \end{aligned}$$



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We can similarly define a representation of the right surface algebra:



$$\hat{a}_R |b\rangle = |a \cdot_R b\rangle = |b \cdot_L a\rangle = |ba\rangle$$

Diagonal Sectors



We can define a **trace operation** on \hat{A}_L via the path integral trace on the surface algebra thanks to the continuity axiom:

 $\operatorname{tr}(a) = \lim_{\beta \downarrow 0} \langle C_{\beta} | \hat{a}_L | C_{\beta} \rangle$

4. Type I von Neumann Factors

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- > The trace is
 - Faithful tr(a) = 0 iff a = 0
 - Normal for any bounded increasing sequence a_n , tr $sup \ a_n = sup$ tr a_n
 - Semifinite $\forall a \in \mathcal{A}^+, \exists b < a \text{ such that } \operatorname{tr}(b) < \infty$

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 - Semifinite $\forall a \in \mathcal{A}^+, \exists b < a \text{ such that } \operatorname{tr}(b) < \infty$
- > The trace inequality holds on the von Neumann algebra! (an extension of the 4-boundaries argument applies)

➤ Trace inequality $tr(ab) \le tr(a)tr(b)$ for $a = b = P \in \mathcal{A}_L^B \implies tr(P) \ge 1$

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Some known results on von Neumann algebras:

- every von Neumann algebra is a direct sum of factors (algebras with trivial center) which can be type I, II or III
- there is no faithful, normal and semifinite trace on type III ⇒ we cannot have type III
- on type II, for any faithful, normal and semifinite trace there are non trivial projections with arbitrarily small trace
 we cannot have type II

 \Rightarrow we have only type I factors!

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 \blacktriangleright The commutation theorem for semifinite traces then tells us that $\mathcal{A}_L^B, \mathcal{A}_R^B$ are commutants on $\mathcal{H}_{B \sqcup B}$

- > The central operators of \mathcal{A}_L^B have purely discrete spectrum.
- > Simultaneously diagonalise all central operators of \mathcal{A}_L^B yields eigenspaces $\mathcal{H}_{B\sqcup B}^{\mu}$ such that

$$\mathcal{H}_{B\sqcup B} = \bigoplus_{\mu} \mathcal{H}_{B\sqcup B}^{\mu}$$

> As a result, the von Neumann algebras decomposes as

$$\mathcal{A}_{L}^{B} = \bigoplus_{\mu} \mathcal{A}_{L,\mu}^{B}$$

$$\downarrow$$
type I factor

 \succ The algebra \mathcal{A}^{μ}_{L} is a type I factor with commutant \mathcal{A}^{μ}_{R} on $\mathcal{H}^{\mu}_{B\sqcup B}$, therefore

$$\mathcal{H}_{B\sqcup B} = \bigoplus_{\mu} \mathcal{H}^{\mu}_{B\sqcup B,L} \otimes \mathcal{H}^{\mu}_{B\sqcup B,R}$$

5. Hilbert Space Interpretation of the Ryu-Takayanagi Entropy

Trace Normalization

> Faithful, normal, semifinite traces on type I algebras are unique up to an overall normalization constant, thus

$$C_{\mu} \operatorname{tr}(a) = \operatorname{Tr}_{\mu}(a) := \sum_{i} {}_{L} \langle i | a | i \rangle_{L},$$

➤ For a = P one-dimensional projection onto a state in $\mathcal{H}^{\mu}_{B \sqcup B, L}$ we have $\mathrm{Tr}_{\mu}(P) = 1$

 $\implies \operatorname{tr}(P) = 1/\mathcal{C}_{\mu}$

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$$1 \leq \operatorname{tr}(P) = 1/\mathcal{C}_{\mu} \Longrightarrow \mathcal{C}_{\mu} \leq 1$$

trace inequality



positivity of the inner product on $\mathrm{tr}(P) \geq 1 \qquad \qquad \mathrm{tr}(P) = 0$

positivity of the inner product on

 $\mathcal{H}_{\sqcup_{i=1}^{n}(B\sqcup B)}$

 $tr(P) \ge n - 1$ $tr(P) = 0, 1, \cdots, n - 2$

 \Rightarrow tr(P) is a positive integer!

Hidden Sectors

 \blacktriangleright For any non-zero finite-dimensional projection $P \in \mathcal{A}_L^B$ the trace tr(P) is a positive integer.

$$\implies \mathcal{C}_{\mu}^{-1} = n_{\mu} \in \mathbb{Z}^+$$

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We define the extended Hilbert space factors:

$$\widetilde{\mathcal{H}}^{\mu}_{B\sqcup B,L/R} := \mathcal{H}^{\mu}_{B\sqcup B,L/R} \otimes \mathcal{H}_{n_{\mu}} \longrightarrow \widetilde{\mathcal{H}}_{B\sqcup B} := \bigoplus_{\mu \in \mathcal{I}} \left(\widetilde{\mathcal{H}}^{\mu}_{B\sqcup B,L} \otimes \widetilde{\mathcal{H}}^{\mu}_{B\sqcup B,R} \right)$$

"hidden sector"

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"hidden sector"

> Then

$$\operatorname{tr}(a) = \widetilde{\operatorname{Tr}}_{\mu}(\widetilde{a})$$
 where $a \in \mathcal{A}_{L}^{\mu}$ and $\widetilde{a} := (a \otimes 1_{n_{\mu}})$

⇒ The hidden sectors allow to interpret the path integral trace as a Hilbert space trace

State-counting Entropy

 \succ the trace tr can be used to define a notion of left entropy on pure states $|\psi\rangle \in \mathcal{H}_{B \sqcup B}$

$$\tilde{\rho}_{\psi} = \oplus_{\mu} \left(p_{\mu} \, \tilde{\rho}_{\psi}^{\mu} \right) \quad \longrightarrow \quad S_{vN}^{L}(\psi) = \operatorname{tr}(-\tilde{\rho}_{\psi} \ln \tilde{\rho}_{\psi})$$

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 \blacktriangleright Thanks to the relation between tr and \tilde{Tr} this entropy has a Hilbert space interpretation:

$$S_{vN}^{L}(\psi) = \widetilde{\mathrm{Tr}}(-\tilde{\rho}_{\psi}\ln\tilde{\rho}_{\psi}) = \sum_{\mu\in\mathcal{I}} p_{\mu}\widetilde{\mathrm{Tr}}(-\tilde{\rho}_{\psi}^{\mu}\ln\tilde{\rho}_{\psi}^{\mu}) - \sum_{\mu\in\mathcal{I}} p_{\mu}\ln p_{\mu}$$

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> We can compute this entropy via the replica trick:

$$\operatorname{tr}(\tilde{\rho}_{\psi}^{n}) = \zeta \left(M(\left[\psi\psi^{\star}\right]^{n}) \right)$$



If the theory admits a semiclassical limit described by Einstein-Hilbert or JT gravity, then we can argue (by following Lewkowycz-Maldacena) that in such a limit the entropy is given by the Ryu-Takayanagi entropy

Conclusions

- Any gravitational path integral satisfying the axioms defines von Neumann algebras of observables associated with codimension-2 boundaries: $\mathcal{A}_L^B, \mathcal{A}_R^B$
- > These algebras contain only **type I** factors.
- > The Hilbert space on which the algebras act decomposes as

$$\mathcal{H}_{B\sqcup B} = \bigoplus_{\mu} \mathcal{H}_{B\sqcup B,L}^{\mu} \otimes \mathcal{H}_{B\sqcup B,R}^{\mu}$$

> The path integral trace is equivalent to a standard trace on an extended Hilbert space, such that

$$\operatorname{tr}(a) = \widetilde{\operatorname{Tr}}_{\mu}(\widetilde{a}) \text{ where } \widetilde{a} := (a \otimes 1_{n_{\mu}})$$

This provides a Hilbert space interpretation of the entropy, even when the gravitational theory is not known to have a holographic dual:

$$S_{vN}^{L}(\psi) = \widetilde{\mathrm{Tr}}(-\tilde{\rho}_{\psi}\ln\tilde{\rho}_{\psi}) = \sum_{\mu\in\mathcal{I}} p_{\mu}\widetilde{\mathrm{Tr}}(-\tilde{\rho}_{\psi}^{\mu}\ln\tilde{\rho}_{\psi}^{\mu}) - \sum_{\mu\in\mathcal{I}} p_{\mu}\ln p_{\mu}$$

> In the semiclassical limit, the entropy is given by the Ryu-Takayanagi formula.

Thanks for the attention!