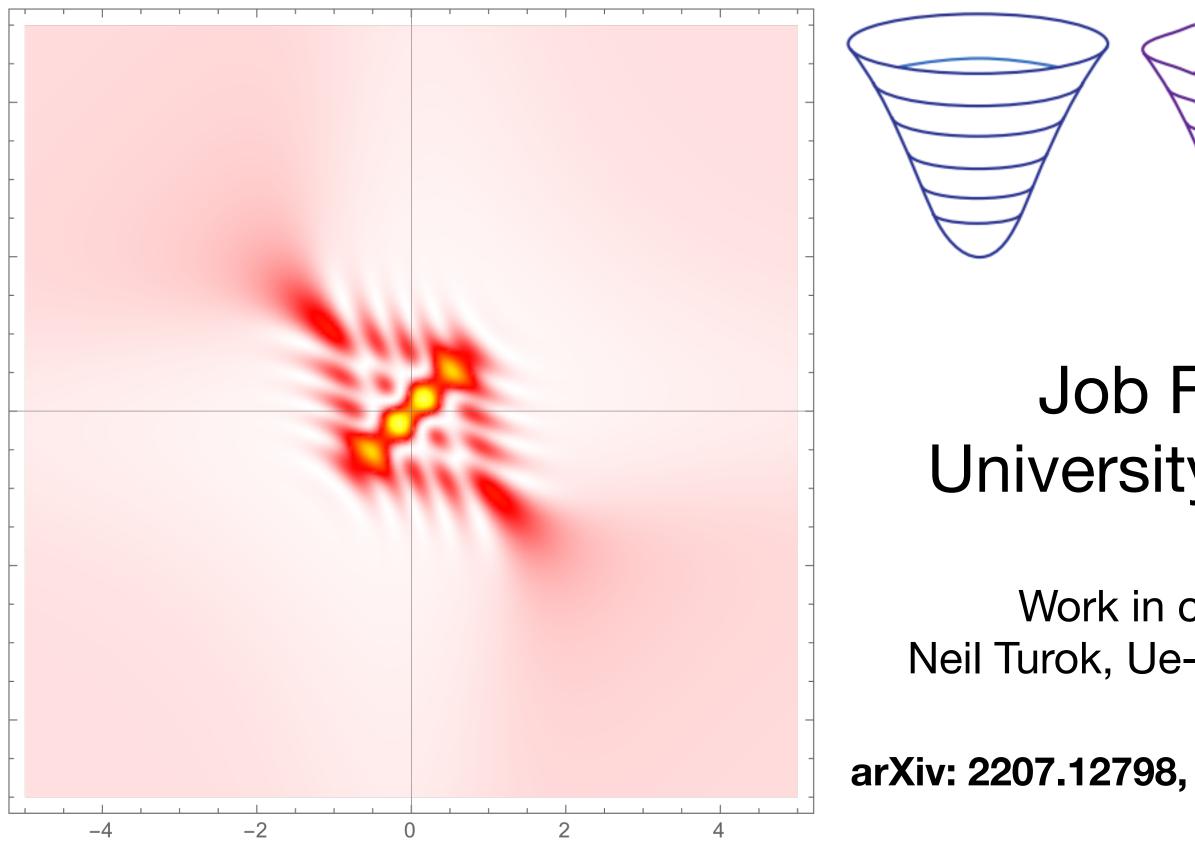
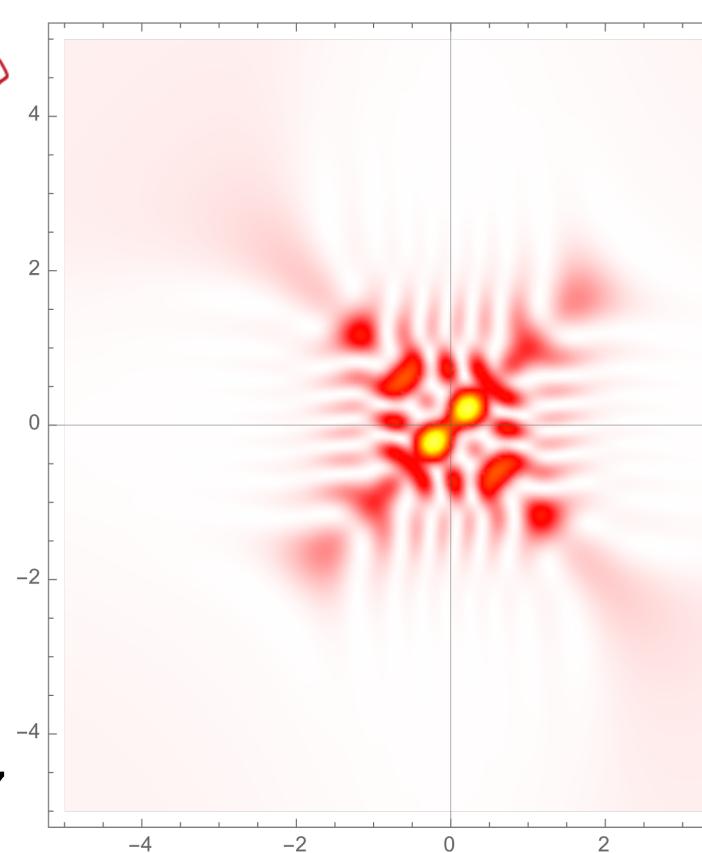
# Complex saddle points in gravitational path integrals



### Job Feldbrugge University of Edinburgh

Work in collaboration with Neil Turok, Ue-Li Pen, and Dylan Jow

arXiv: 2207.12798, 2309.12420 and 2309.12427



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There are roughly two paths to quantum gravity

### **Canonical Quantization**

### Wheeler-DeWitt equation

$$\hat{\mathcal{H}}_0 \Psi[\mathcal{G}^{(3)}] = 0 \qquad \hat{\mathcal{H}}_i \Psi[\mathcal{G}^{(3)}] = 0$$

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Trans-series in perturbation theory (resurgenc

$$\Psi = \sum_{i} e^{iS_i/\hbar} \sum_{n=0}^{\infty} c_n^{(i)}\hbar^n$$

Resurgence theory: The perturbative solution of a differential equation assumes the form of trans-series, where  $S_i$  is the Einstein-Hilbert action of a classical spacetime.

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### Path Integral Quantization

Path integral over spacetimes

$$K[\mathscr{G}_{1}^{(3)},\mathscr{G}_{0}^{(3)}] = \int_{0}^{\infty} \int_{\mathscr{G}_{0}^{(3)}}^{\mathscr{G}_{1}^{(3)}} e^{iS_{EH}[\mathscr{G};N]/\hbar} \mathscr{D}\mathscr{G}dI$$



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Picard-Lefschetz theory: The highly oscillatory integral is dominated by constructed interference at saddle points of the Einstein-Hilbert action, solving the boundary value problem

$$\frac{\delta S_{EH}}{\delta \mathcal{G}} = 0 \mapsto G_{\mu\nu} = \kappa T_{\mu\nu}$$



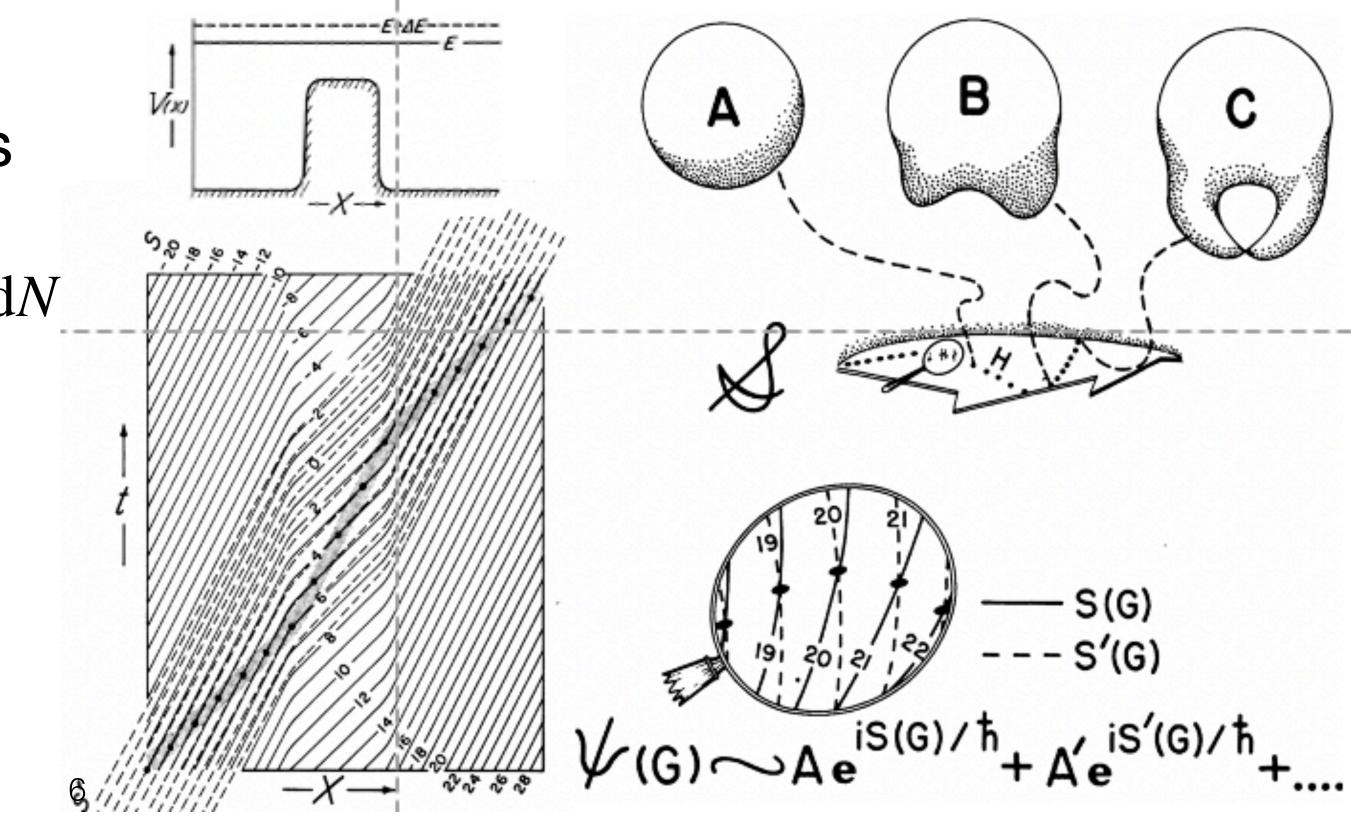
### Complex saddle points? In quantum gravity, the path integral for gravity has influenced many

In quantum gravity, the path integ explorations

**Wheeler:** A classical trajectory emerges as an interference phenomena in quantum mechanics. Classical spacetime spacetime may emerge as an interference effect in superspace

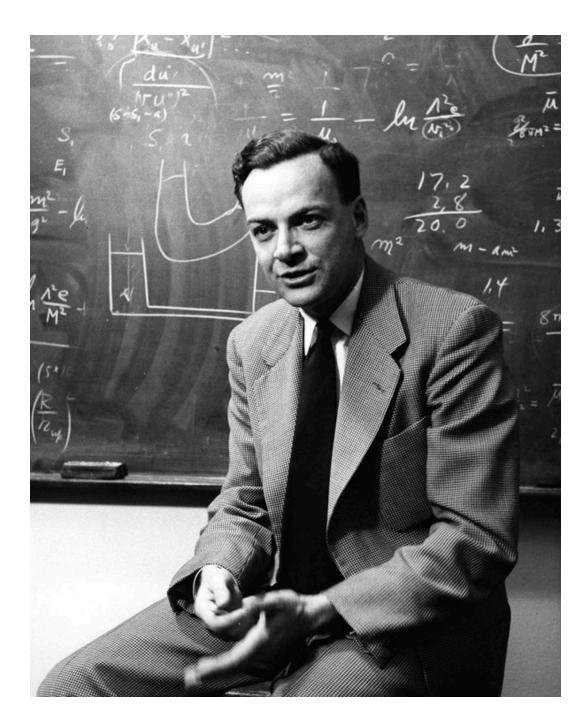
• The path integral over spacetimes  $K[\mathscr{G}_{1}^{(3)}, \mathscr{G}_{0}^{(3)}] = \int_{0}^{\infty} \int_{\mathscr{G}_{0}^{(3)}}^{\mathscr{G}_{1}^{(3)}} e^{iS_{EH}[\mathscr{G};N]/\hbar} \mathscr{D}\mathscr{G}dN$ 

Classical spacetime emerges from real saddle points. Quantum transitions follow from relevant complex saddle points.

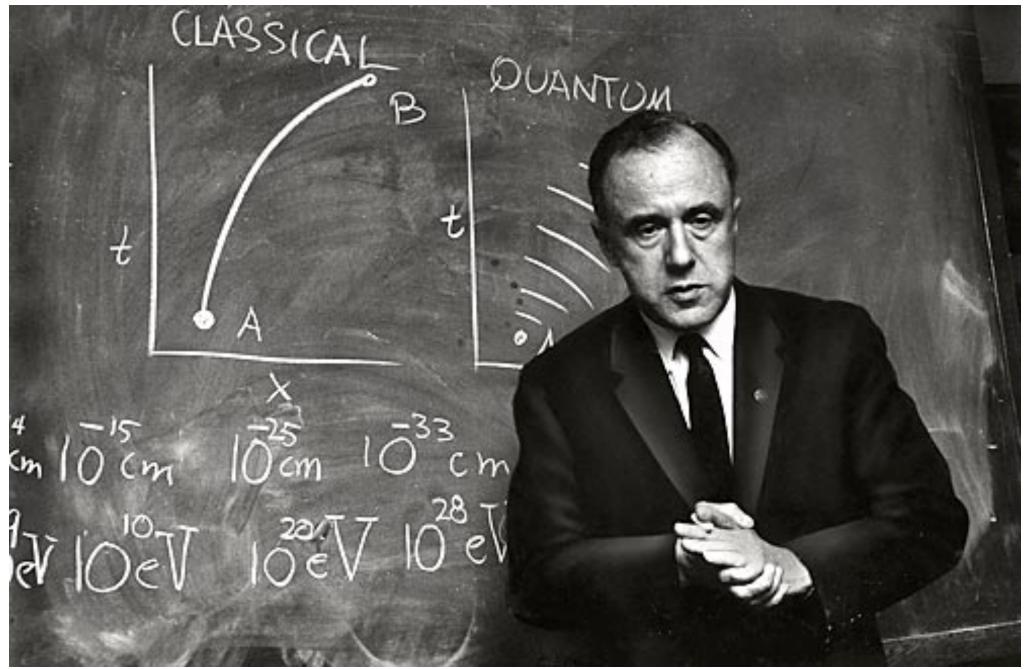


### Overview

- 1. Oscillatory integrals and Picard-Lefschetz theory
- 2. Feynman sums over histories and the Wiener measure
- 3. Caustics, Stoke's transitions and quantum tunnelling





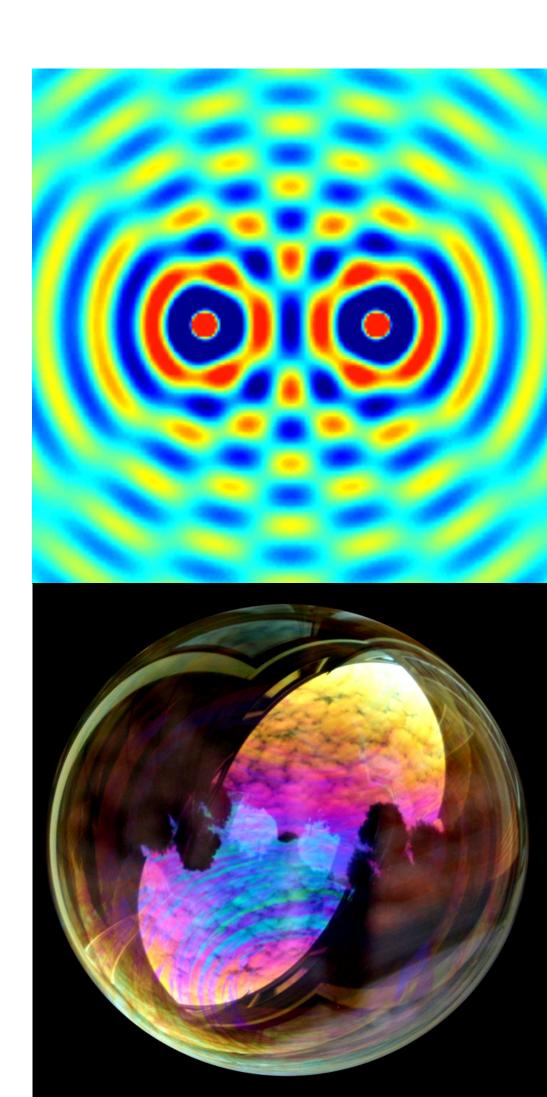


Interference

### Interference

- Interference is among the most universal phenomena in physics
- Unfortunately, the associated multi-dimensional highly oscillatory integrals are generally delicate to define and expensive to evaluate  $\Psi(y) = \int_{-\infty}^{\infty} e^{y}$
- Conditionally convergent integrals need to be regulated with care
- Picard-Lefschetz theory formalizes saddle point methods and solves these problems

$$e^{if(x,y)} dx$$



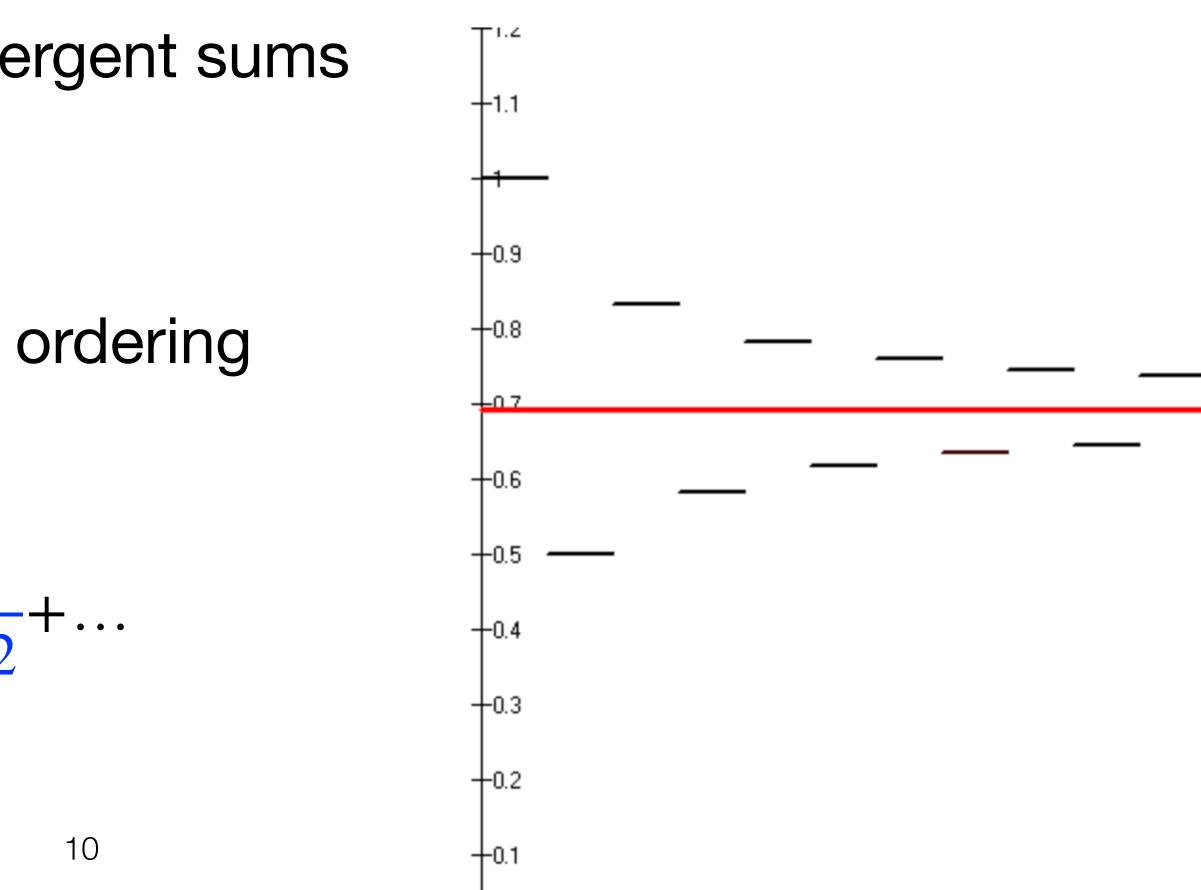
Alternating sums occur in many places, ranging from classical systems, and wave optics, to quantum physics

Absolutely v.s. conditionally convergent sums

$$S = \sum_{i=1}^{\infty} a_i \qquad \sum_{i=1}^{\infty} a_i < \infty$$

 Conditional series depend on the ordering  $1 - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \frac{1}{5} - \frac{1}{6} + \dots = \ln 2$  $\frac{1}{2} - \frac{1}{4} + \frac{1}{3} - \frac{1}{6} - \frac{1}{8} + \frac{1}{5} - \frac{1}{10} - \frac{1}{12} + \dots$  $=\frac{1}{2}\left(1-\frac{1}{2}+\frac{1}{3}-\dots\right)=\frac{1}{2}\ln 2$ 

### **Conditional convergence**



## Oscillatory integrals

wave optics, to quantum physics

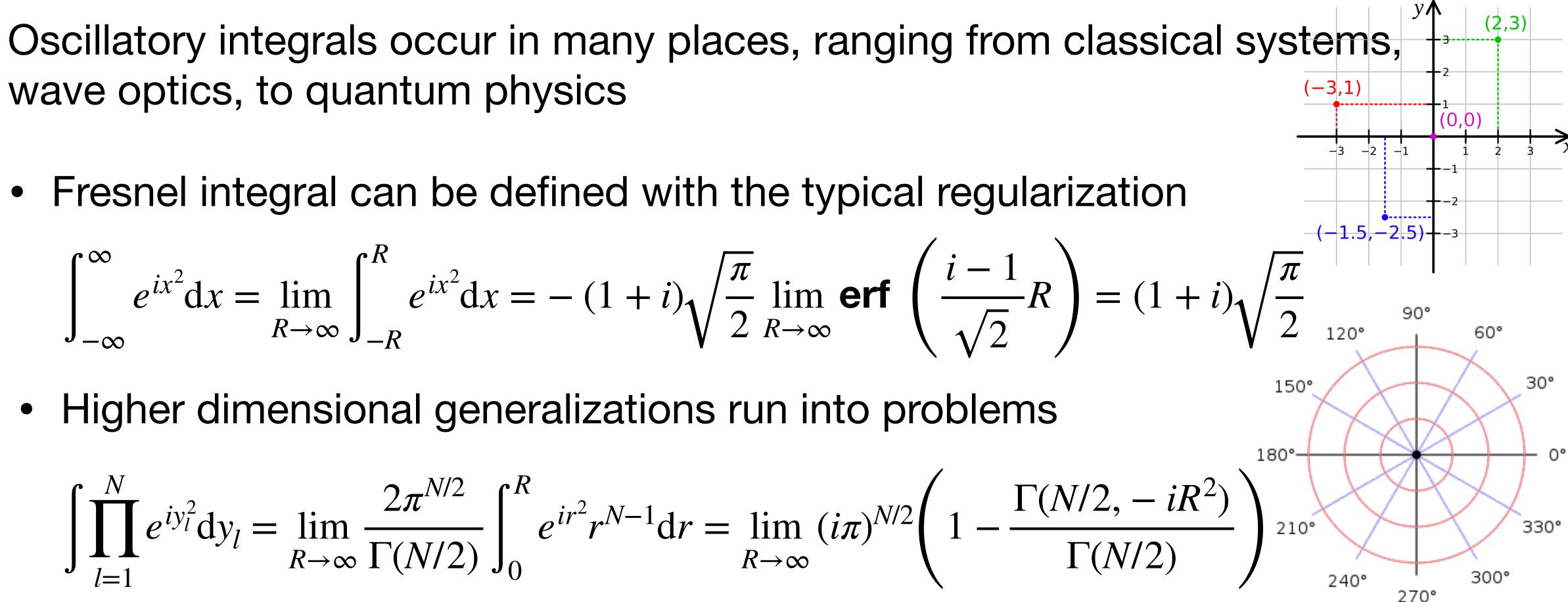
Fresnel integral can be defined with the typical regularization

$$\int_{-\infty}^{\infty} e^{ix^2} dx = \lim_{R \to \infty} \int_{-R}^{R} e^{ix^2} dx = -(1+i)$$

Higher dimensional generalizations run into problems

$$\int \prod_{l=1}^{N} e^{iy_l^2} dy_l = \lim_{R \to \infty} \frac{2\pi^{N/2}}{\Gamma(N/2)} \int_0^R e^{ir^2} r^{N-1} dr$$

oscillates around the box cutoff regulator for N = 2 and diverges for N > 2.



## Oscillatory integrals

Oscillatory integrals occur in many places, ranging from classical systems, wave optics, to quantum physics

- Absolutely v.s. conditionally convergent integrals  $I = \int f(x) dx \qquad \qquad \int f(x) dx < \infty$
- Fubini's theorem

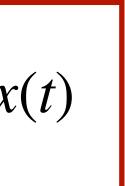
$$\iint f(x, y) \, \mathrm{d}x \, \mathrm{d}y = \int \left[ \int f(x, y) \, \mathrm{d}x \right] \, \mathrm{d}y = \int \left[ \int f(x, y) \, \mathrm{d}y \right] \, \mathrm{d}x$$

Dominated convergence theorem

$$\lim_{n \to \infty} \left[ \int f_n(x) dx \right] = \int \left[ \lim_{n \to \infty} f_n(x) \right] dx \quad w$$

$$\int_{-\infty}^{\infty} e^{if(x)} dx \quad \text{or} \quad \int_{x(0)=x_0}^{x(1)=x_1} e^{iS[x(t)]} \mathcal{D}x$$

when  $f_n(x) \leq g(x) \quad \forall n$  with  $g(x) \, \mathrm{d}x < \infty$ 



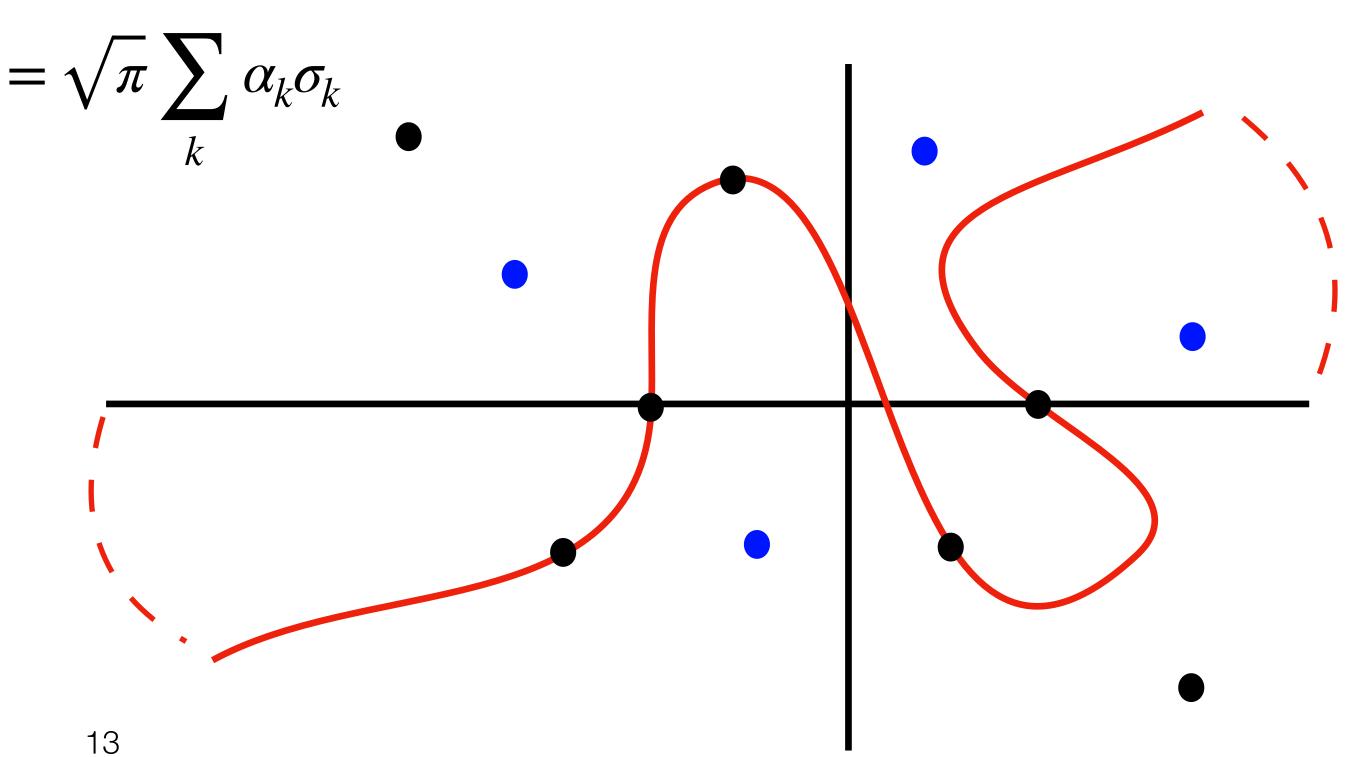
## Saddle point methods

Using Cauchy's integral formula for integrals over analytic functions:

Saddle point methods (WKB or Eikonal approximation)

$$\int_{-\infty}^{\infty} e^{if(x)} dx \approx \sum_{k} \int \alpha_k \exp\left[-\frac{x^2}{\sigma_k^2}\right] dx =$$

- What is the optimal contour?
- Which saddle points to include?

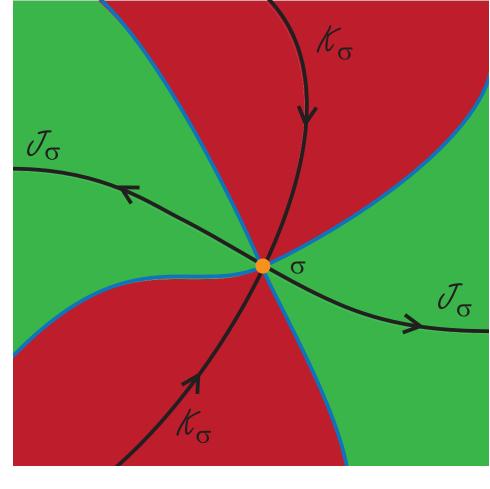


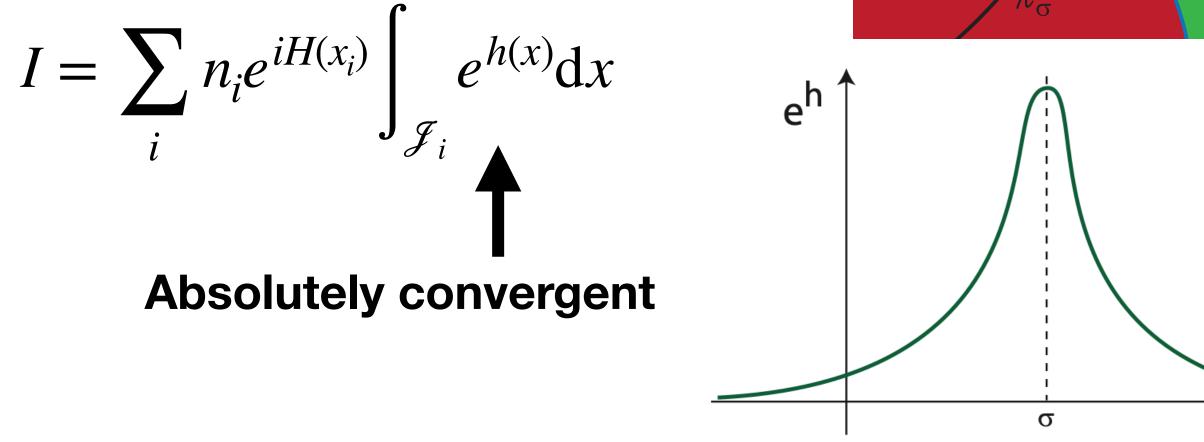
Picard-Lefschetz theory: For theories with **analytic actions**:

- Analytically continue the integrand into the complex plane
- Find all saddle points
- Find the steepest ascent and descent contours associated with the real part of the exponent
- Deform the integration domain to the relevant descent thimbles

$$I = \int_{\mathbb{R}} e^{if(x)} \mathrm{d}x$$

$$if(x) = h(x) + iH(x)$$





Thimble is relevant when the ascent contour  $\vec{J}_{\sigma}$ **intersects** the original integration contour



• Fresnel integral

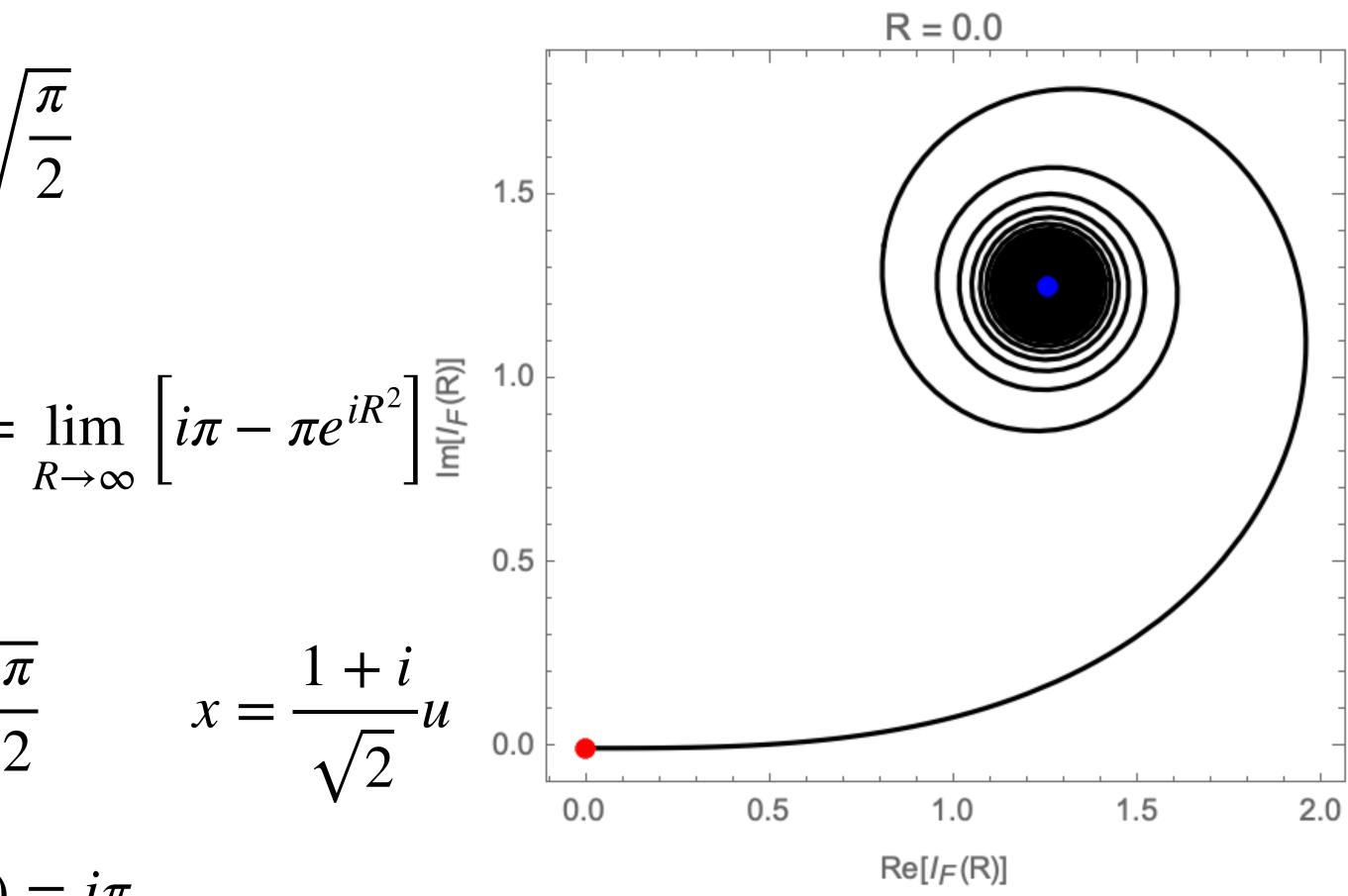
$$\int_{-\infty}^{\infty} e^{ix^2} dx = \lim_{R \to \infty} \int_{-R}^{R} e^{ix^2} dx = (1+i)\sqrt{2}$$

Multi-dimensional extension

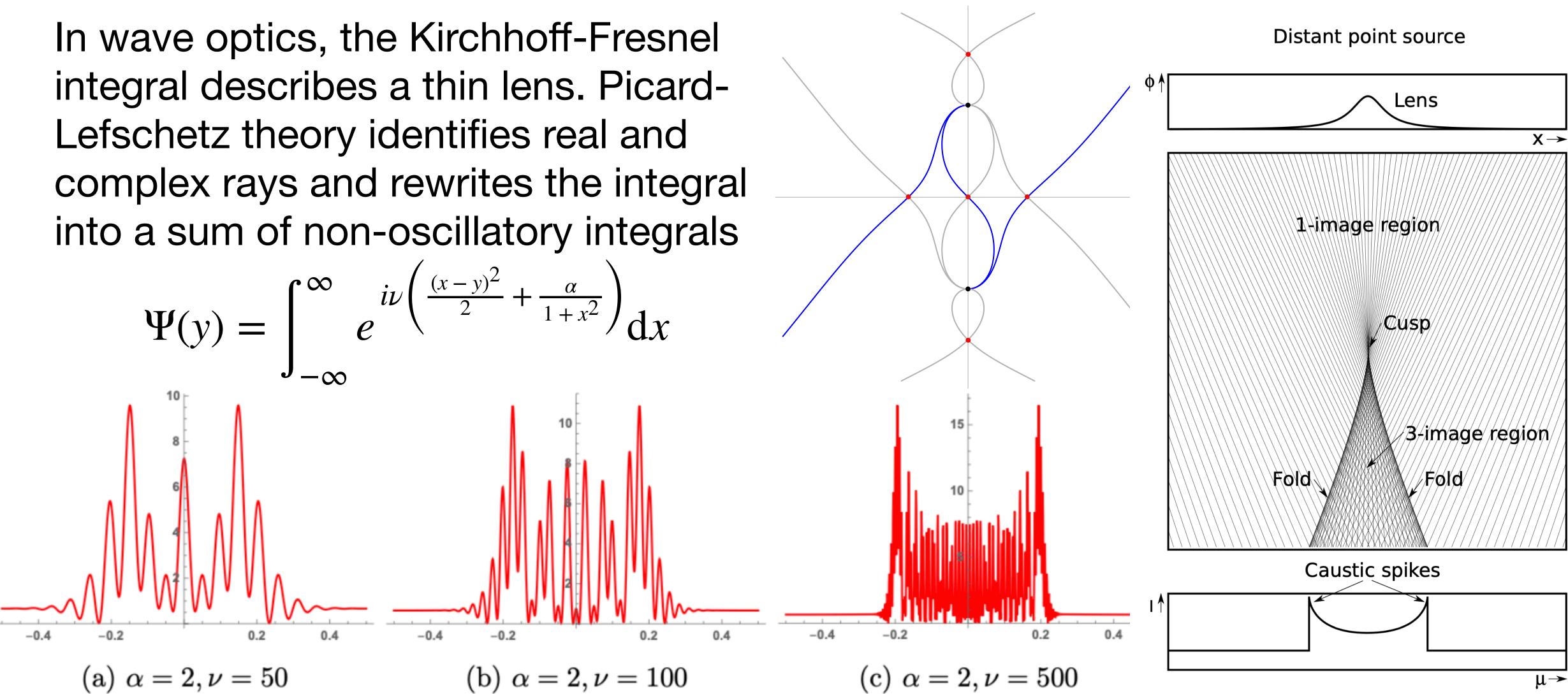
$$\iint_{\mathbb{R}^2} e^{i(x^2+y^2)} \mathrm{d}x \mathrm{d}y = \lim_{R \to \infty} 2\pi \int_0^R r \, e^{ir^2} \mathrm{d}r =$$

• Complex analysis

$$\int_{\mathbb{R}} e^{ix^2} dx = \frac{1+i}{\sqrt{2}} \int_{\mathbb{R}} e^{-u^2} du = (1+i)\sqrt{\frac{2}{2}}$$
$$\iint_{\mathbb{R}^2} e^{i(x^2+y^2)} d(x,y) = i \int_{\mathbb{R}^2} e^{-(u^2+v^2)} d(u,v)$$



 $=i\pi$ 



Picard-Lefschetz theory yields the optimal deformation of analytic conditionally convergent integrals and yields the unique result:

$$\int_{\mathbb{R}^n} e^{if(\mathbf{X})} d\mathbf{X} \equiv \lim_{R \to \infty} \int g_R(\mathbf{X}) e^{if(\mathbf{X})} d\mathbf{X} = \lim_{R \to \infty} \sum_i \int_{\mathcal{J}_i} g_R(\mathbf{X}) e^{if(\mathbf{X})} d\mathbf{X} = \sum_i \int_{\mathcal{J}_i} e^{if(\mathbf{X})} d\mathbf{X}$$

For a regulator g, that converges to 1 as  $R \to \infty$ , is analytic in the complex plane, decays rapidly enough that no contributions from infinity are introduced. Extreme paths cancel out.



## Feynman Path integral

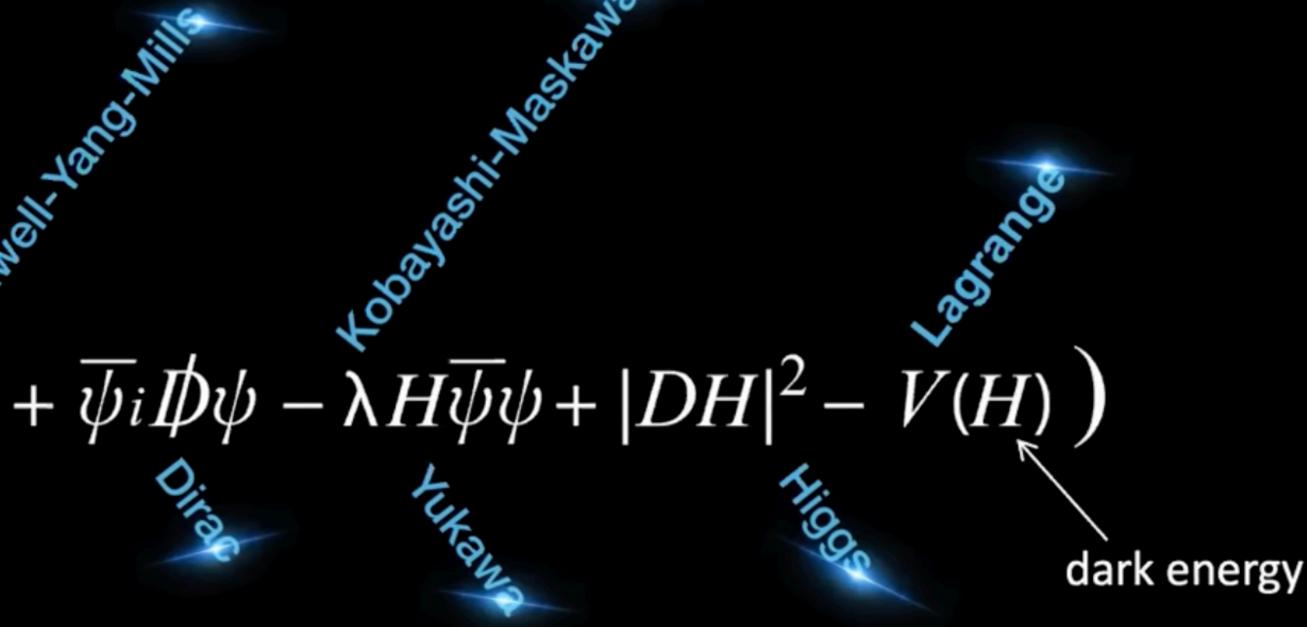
Feynman path integral. But how is this infinite-dimensional conditionally convergent oscillatory integral defined?

From Curie to Noether and

Schiodino  $16\pi G$ 

### Path integral

# Interference gives our cleanest description of the Universe as formalized by the



 $\Psi = (u_L, d_L, u_R, d_R, u_L, d_L, u_R, d_R, u_L, d_L, u_R, d_R, u_L, d_L, u_R, d_R, e_L, v_L, e_R, v_R) \times 3$ 









General idea: the path integral has support for paths for saddle points of the action

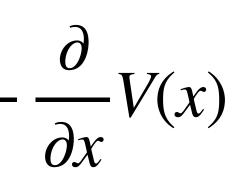
 $G[x_1, x_0; T] = \int_{x(0)=x_0}^{x(T)=x_1} e^{iS[x]/\hbar} \mathcal{D}x$  $\frac{\delta S}{\delta x} = 0 \mapsto \frac{\partial L}{\partial x} - \frac{\mathrm{d}}{\mathrm{d}t} \frac{\partial L}{\partial \dot{x}} = 0 \mapsto m \ddot{x} = -\frac{\partial}{\partial x} V(x)$  $x(0) = x_0, x(T) = x_1$ 

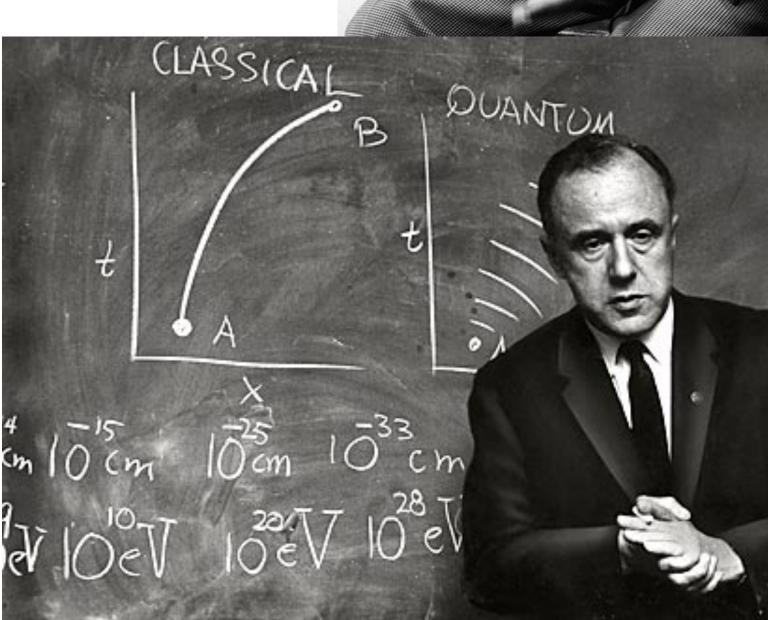
However, which saddles are relevant?

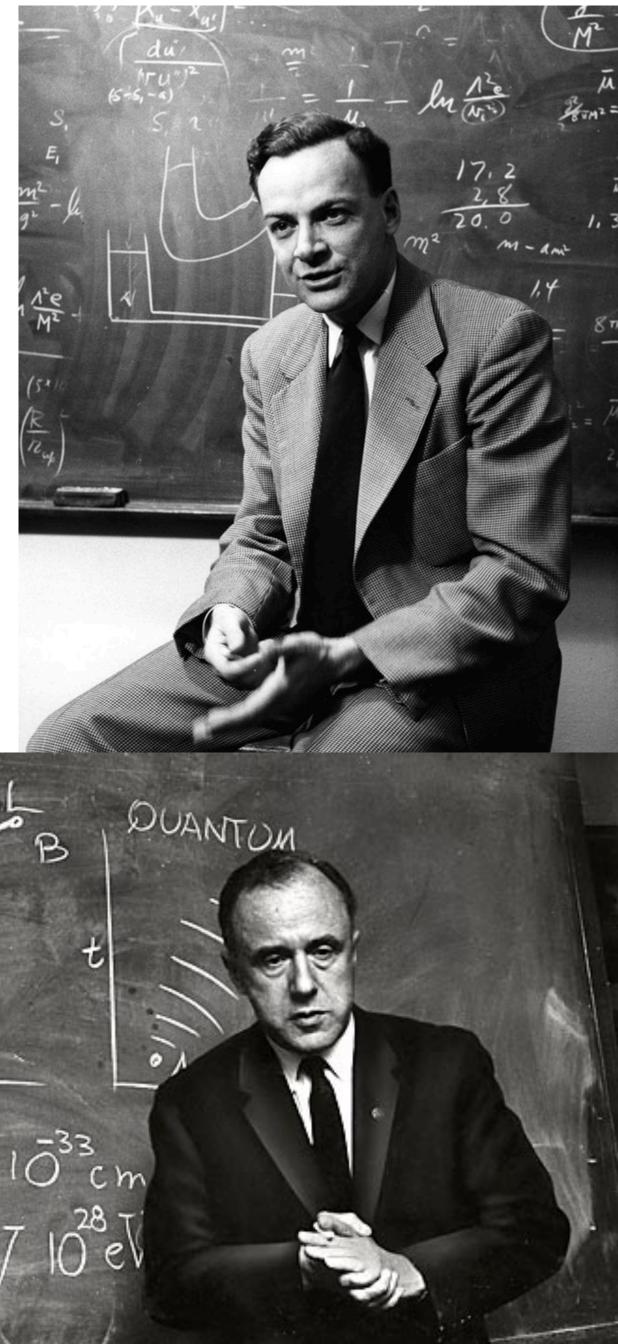
Can we make this idea rigorous?

Can everything be described in terms of classical paths?

# Path integral







### What is the problem? And why should we care?

- it should be used to destroy bad intuition while clarifying and evaluating good intuition. It is only with a combination of both mathematical problems."

## Path integral

• Feynman and Hibbs: "...we feel that the possible awkwardness of the special definition of the sum over all paths may eventually require new definitions to be formulated. Nevertheless, the concept of the sum over paths, like the concept of an ordinary integral, is independent of a special definition and valid in spite of the failure of such definitions"

• Terence Tau: "The point of rigour is not to destroy all intuition; instead, rigorous formalism and good intuition that one can tackle complex

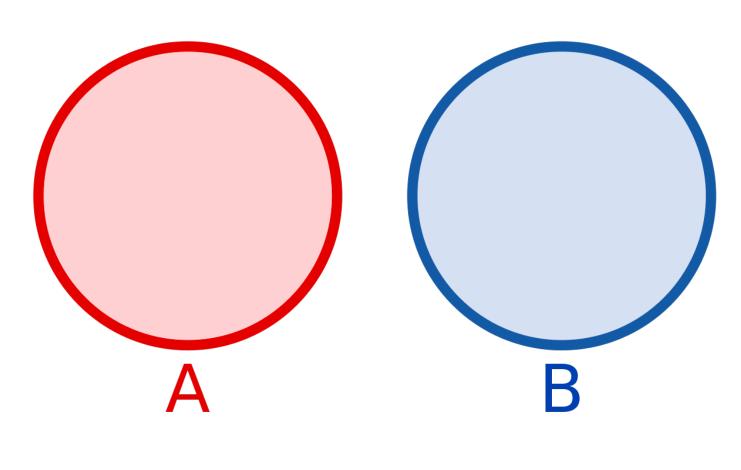
## Infinite dimensional integrals

Integration theory is an application of measure theory

sigma-algebra  $\mathscr{A}$  on the space  $\Omega$ 1.  $\Omega \in \mathscr{A}$ 2.  $A \in \mathscr{A} \Rightarrow A^c \in \mathscr{A}$ **3.**  $A_n \in \mathscr{A}, n \in \mathbb{N} \Rightarrow \bigcup_{n=1}^{\infty} A_n \in \mathscr{A}$ 

We define integrals of **positive simple functions** as a finite sum  $f = \sum_{i=1}^{r} \alpha_i 1_{A_i}$  integrates to  $\int_{\Omega} f \, d\mu = \sum_{i=1}^{r} \alpha_i \, \mu(A_i)$ i=1leading to the general integral for positive functions  $\int_{\Omega} f \, \mathrm{d}\mu = \sup \left\{ \int_{\Omega} g \, \mathrm{d}\mu \, \middle| \, \text{where } g \text{ is simple and } 0 \le g \le f \right\}$ 

sigma-measure  $\mu : \mathscr{A} \to [0,\infty]$ **1.**  $\mu(\emptyset) = 0$ 2.  $A_n \in \mathcal{A}, n \in \mathbb{N}$ , pairwise disjoint  $\Rightarrow \mu(\cup_{n=1}^{\infty} A_n) = \sum_{n=1}^{\infty} \mu(A_n)$ 



## Infinite dimensional integrals

The infinite product of Lebesgue measures is not a sigma measure

- Lebesgue formalized the standard measure on geometric spaces  $\bullet$  $\mu([a,b]) = b - a$
- Unfortunately, the infinite product is not a measure due to translation invariance  $\mathcal{D}x \stackrel{!}{=}$  $dx_i$ i=1

The measure of the n-dimensional hypercube can be subdivided

 $1 = \mu([0,1]^n) = 2^n \mu([0,1/2]^n)$  In the limit  $n \to \infty$ 

the subcube has a vanishing measure  $\mu([0,1/2]^{\infty}) = 0$ and so does any subset that we construct from them. Such measures are useless in physics!



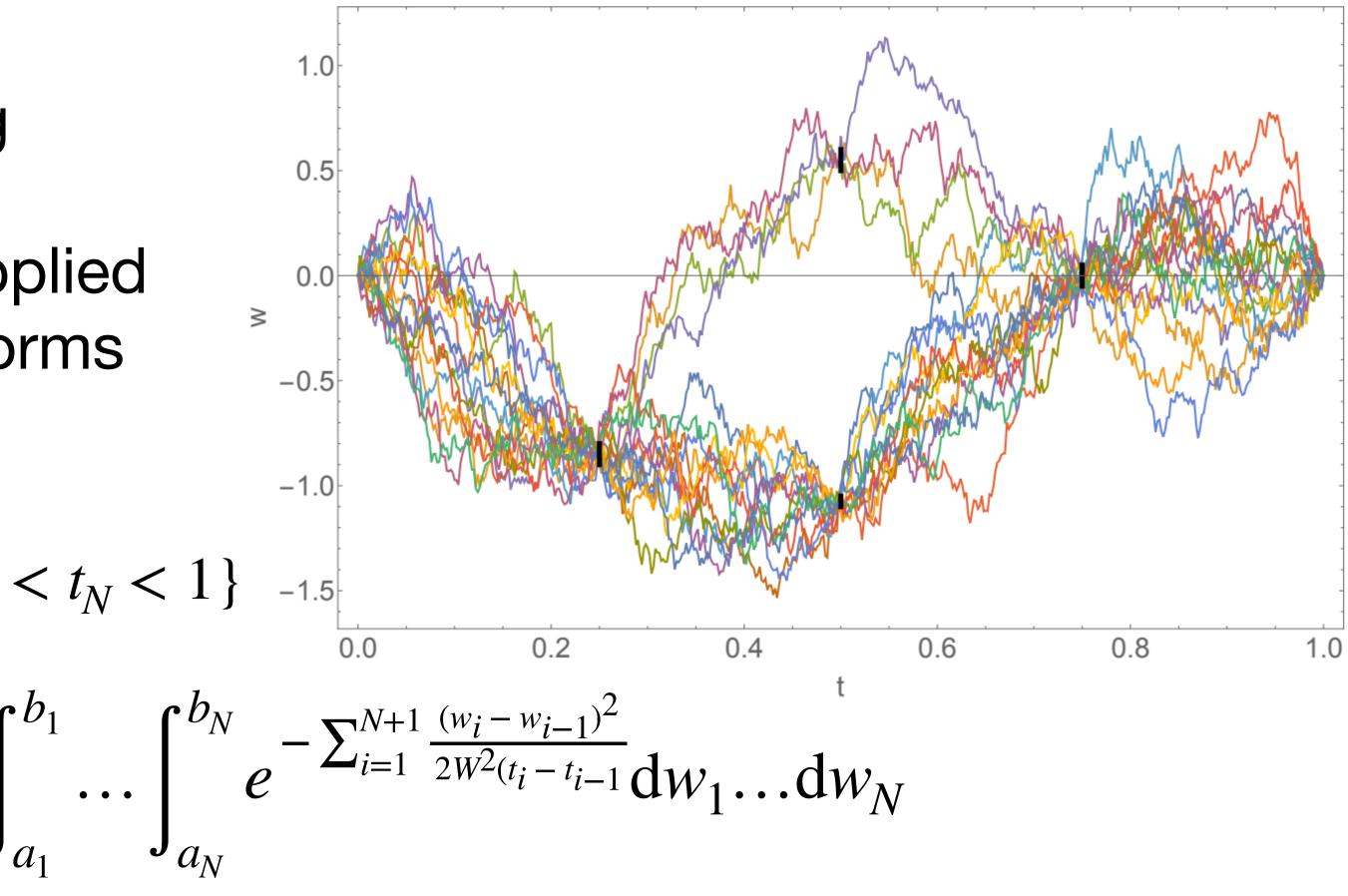
### Infinite dimensional integrals

There exist infinite-dimensional measures that that are not translation invariant

**Restricted Brownian motion moving** between two points, leads to the Brownian bridge measure. When applied to a space of N slits, the measure forms an N-dimensional integral

$$Q = \{ w \in \Omega \ a_i < w(t_i) < b_i, \ 0 < t_1 < \dots \\ \mu_B(Q) = \left( \frac{\sqrt{2\pi}W}{\prod_{i=1}^{N+1} (W\sqrt{2\pi}(t_i - t_{i-1}))} \right).$$

with stiffness, W. Note that the paths are **not differentiable**!



## Feynman-Kac formula

When using a Wick rotation: interference -> statistical physics  $e^{\frac{i}{\hbar}\int (m\dot{x}^2/2 - V(x))dt} \mathcal{D}x$ 

which is still mathematically **ill-defined**. However, we can define the set of symbols in terms of the **Brownian bridge measure** 

$$\frac{\int e^{-\frac{1}{\hbar}\int (m\dot{x}^2/2 + V(x))dt} \mathcal{D}x}{\int e^{-\frac{1}{\hbar}\int m\dot{x}^2/2dt} \mathcal{D}x} \equiv \int e^{-\frac{1}{\hbar}\int V(x)dt} d\mu_B(x)$$

$$\frac{-\frac{1}{\hbar}\int (m\dot{x}^2/2 + V(x))dt}{\int e^{-\frac{1}{\hbar}\int m\dot{x}^2/2dt} \mathcal{D}x} \equiv \int e^{-\frac{1}{\hbar}\int V(x)dt} d\mu_B(x)$$

The smoothing due to the kinetic term and wildness of the infinite product "measure" are beautifully balanced in the Brownian bridge.

$$e^{-\frac{1}{\hbar}\int \frac{m}{2}\dot{x}^2 \mathrm{d}t} \mathcal{D}x \equiv \mathrm{d}\mu_B$$

$$f \to \int e^{-\frac{1}{\hbar} \int (m\dot{x}^2/2 + V(x)) dt} \mathcal{D}x$$

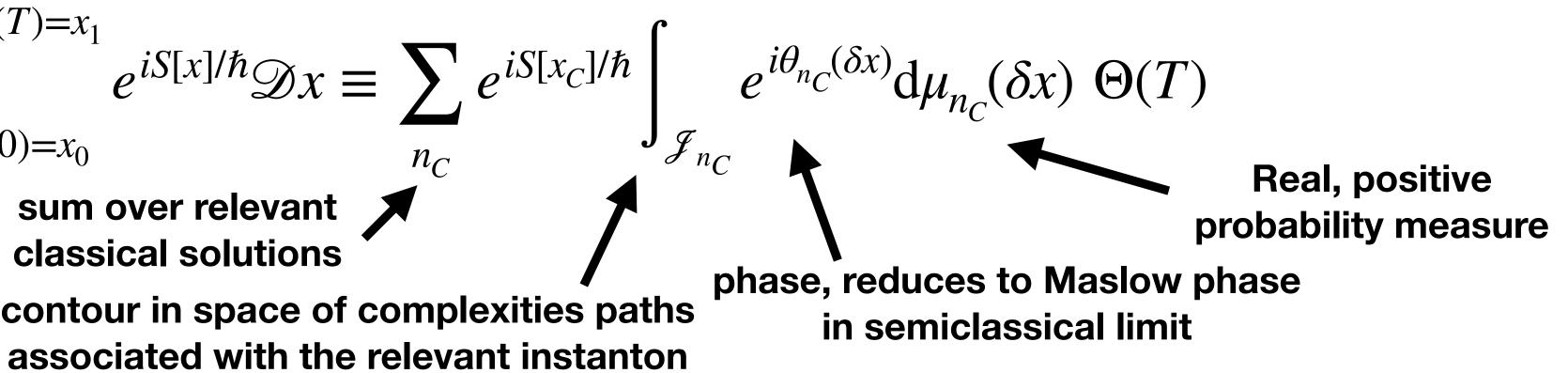
## New proposal for real-time QM

When applying Picard-Lefschetz theory to the real-time path integral, can we deform the paths and define the integral using the Brownian bridge measure for each relevant instanton?

$$\int_{\mathbb{R}^n} e^{if(\mathbf{X})} d\mathbf{X} \equiv \lim_{R \to \infty} \int g_R(\mathbf{X}) e^{if(\mathbf{X})} d\mathbf{X} = \lim_{R \to \infty} \sum_i \int_{\mathcal{J}_i} g_R(\mathbf{X}) e^{if(\mathbf{X})} d\mathbf{X} = \sum_i \int_{\mathcal{J}_i} e^{if(\mathbf{X})} d\mathbf{X}$$

For a regulator g, that converges to 1 as  $R \to \infty$ , is analytic in the complex plane, decays rapidly enough that no contributions from infinity are introduced. Extreme paths cancel out and we obtain a unique result:

$$G[x_1, x_0; T] = \int_{x(0)=x_0}^{x(T)=x_1} e^{iS[x]/\hbar} \mathscr{D}x \equiv \sum_{\substack{n_C \\ \text{sum over relevant} \\ \text{classical solutions}}}$$



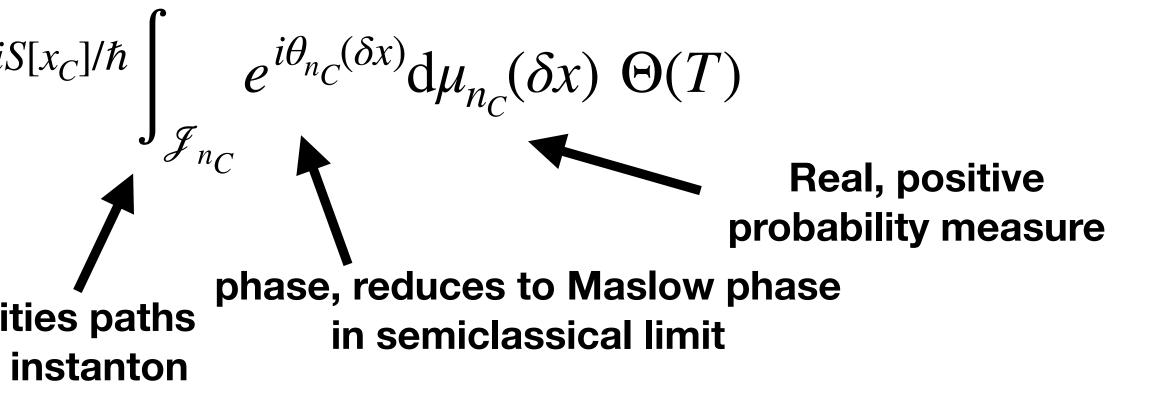
## New proposal for real-time QM

The structure of the path integral is completely organized by the classical paths. Note that this formula is exact and not the saddle point approximation. For more details see arXiv:2207.12798 (JF and Neil Turok)

$$G[x_1, x_0; T] = \int_{x(0)=x_0}^{x(T)=x_1} e^{iS[x]/\hbar} \mathcal{D}x \equiv \sum_{n_C} e^{iS[x_C]/\hbar} \int_{\mathcal{F}} \frac{1}{\sqrt{n_C}} e^{iS[x_C]/\hbar} e^{$$

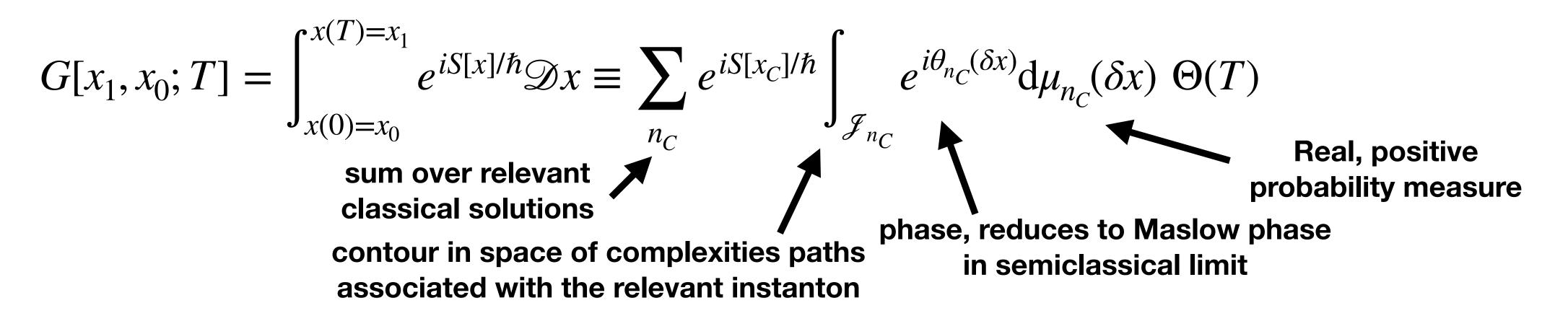
where the instantons are defined by  $m\ddot{x} = -V'(x)$ , with  $x(0) = x_0$ , and  $x(T) = x_1$ 

This formula should also apply to gravity!



## New proposal for real-time QM

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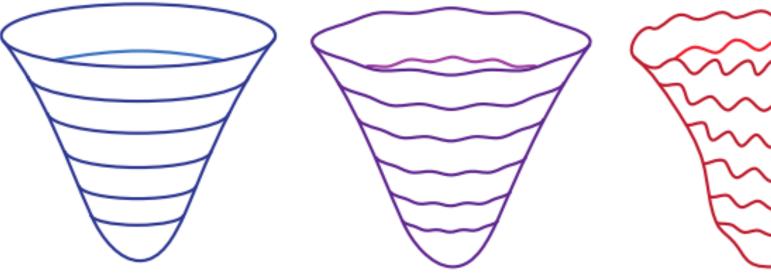


### An instanton is relevant if and only if there exists a steepest ascent deformation of the saddle point to a real path

## The quantum big bang

## The no-boundary proposal

- In the early 1980's, both Hartle, Hawking and Vilenkin developed famous models for the quantum big bang, known as the no-boundary and the tunnelling proposal.
- Nucleation of a classical closed Lambda-dominated universe out of a forbidden 'Euclidean' quantum phase.
- Aim: use the path integral for gravity to construct a predictive model for the initial conditions of our universe.
- In recent work, we used Picard-Lefschetz theory to study these proposals in the Lorentzian formulation.



Lorentzian Quantum Cosmology No smooth beginning for spacetime No Rescue for the No Boundary Proposal:

Pointers to the Future of Quantum Cosmology

**Inconsistencies of the New No-Boundary Proposal** Quantum Incompleteness of Inflation





### No-boundary proposal

Minisuperspace  $ds^2 = -N(t)^2 dt^2$  $G[a_1; a_0] = \int_0^\infty$ 

with the Einstein-Hilbert action for a  $\Lambda$ -dominated universe

$$S = \frac{1}{2} \int_{\mathcal{M}} \mathrm{d}^4 x \sqrt{-g} \left( R - 2\Lambda \right) = 2\pi^2 \int_0^1 \mathrm{d}t \, N \left[ -3a \frac{\dot{a}^2}{N^2} + 3ka - a^3\Lambda \right]$$

Redefining the lapse  $N \mapsto N/a$ , the action is quadratic in  $q = a^2$ 

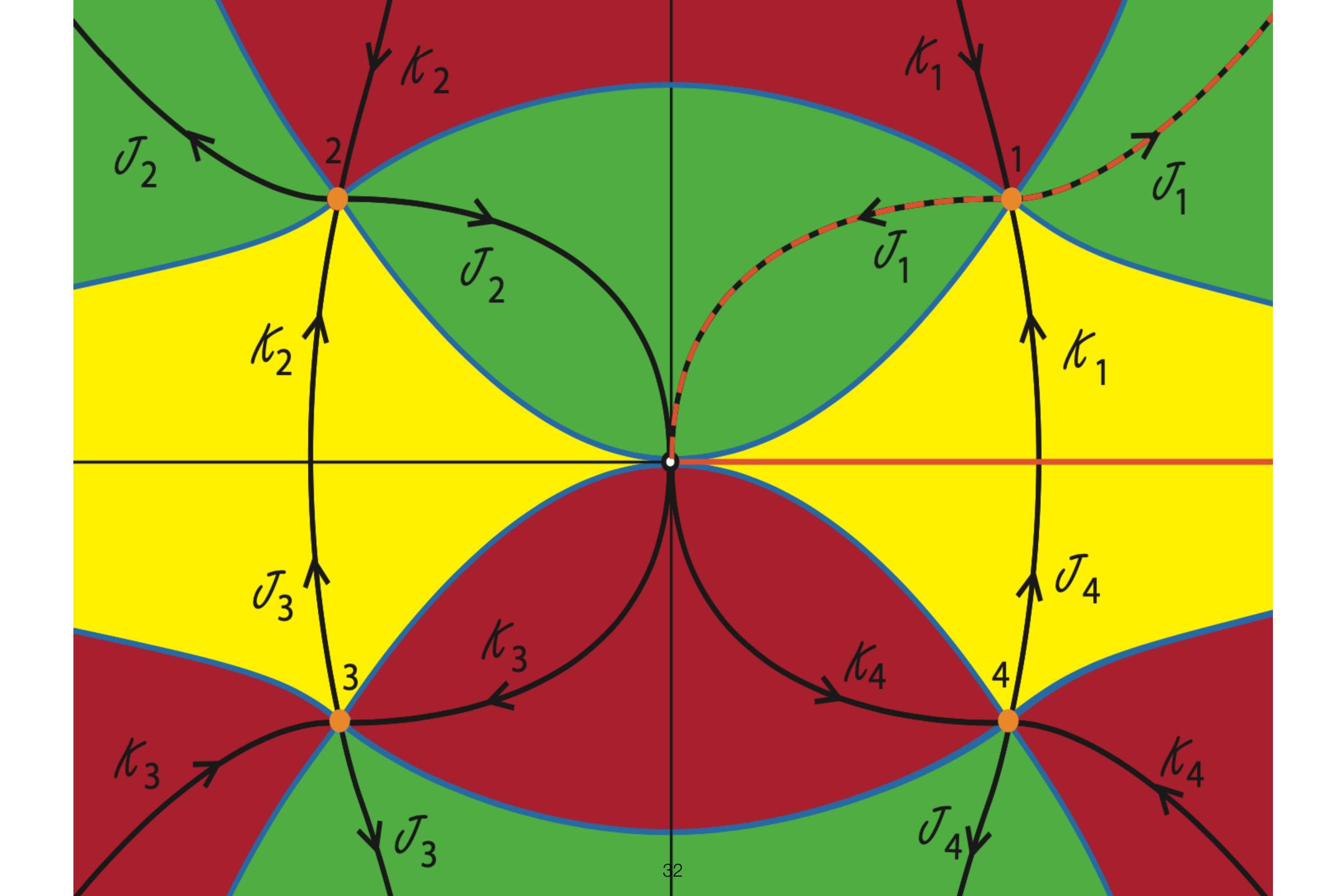
$$S = 2\pi^2 \int_0^1 \mathrm{d}t \left[ -\frac{3}{4N} \dot{q}^2 + N(3k - \Lambda q) \right]$$

The propagator  $G[q_1; q_0] \propto \int_0^\infty \frac{dN}{\sqrt{N}} e^{iS_0[q_1; q_0; N]}$  with the classical action

$$S_0[q_1; q_0; N] = N^3 \frac{\Lambda^2}{36} + N \left[ -\frac{\Lambda}{2} (q_0 + q_1) + 3k \right] + \frac{1}{N} \left[ -\frac{3}{4} (q_1 - q_0)^2 \right]$$

Halliwell & Louko (1989), Brown & Martinez (1990)

$$+ a(t)^2 \mathrm{d}\Omega_3^2$$
  
 $\infty \mathrm{d}N \int_{a_0}^{a_1} \mathcal{D}a \, e^{iS[N,a]}$ 



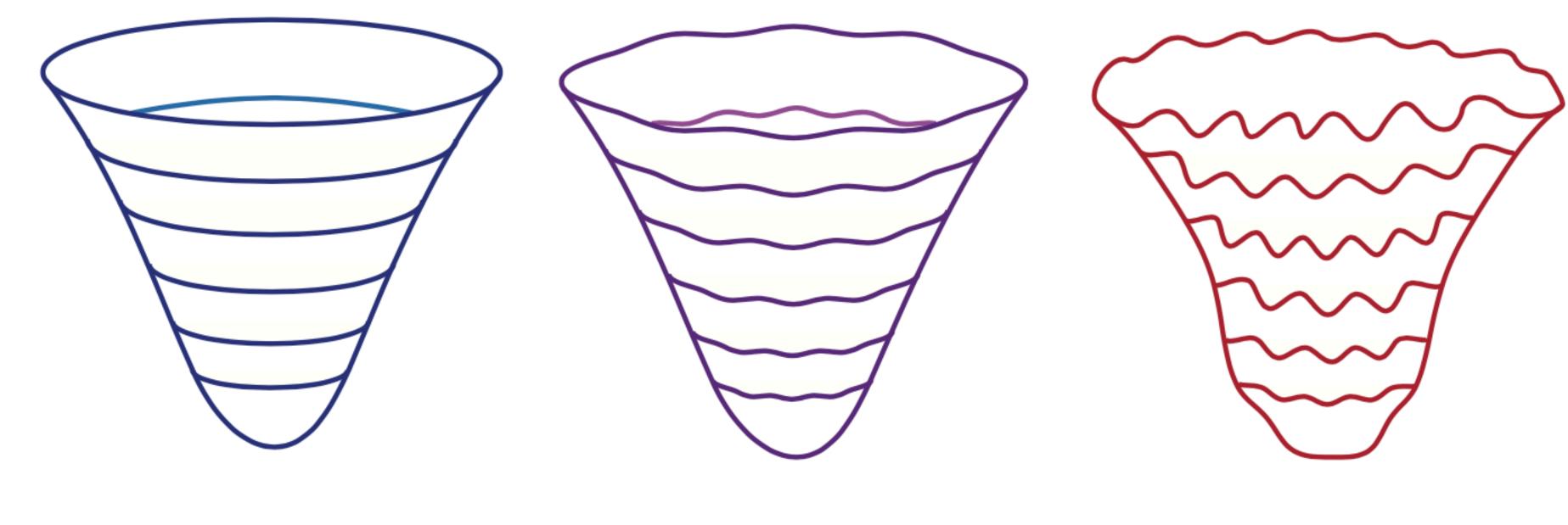
### The no-boundary proposal

GW propagator

 $G_{\phi}[\phi_1;0] \propto e^{rac{l(l+1)(l+2)}{2\hbar H^2}\phi_1^2} imes phase$ 

This is an inverse Gaussian distribution

 $|G_{\phi}[\phi_1;0]|^2 \propto e^{rac{l(l+1)(l+2)}{\hbar H^2} \phi_1^2}$ 



### The total propagator factorizes $G[q_1, \phi_1; 0, 0] = G[q_1; 0]G_{\phi}[\phi_1; 0]$ , with the

### The propagator in action $G[x_1, x_0; T]$ S[x(t)]

### **Rosen-Morse potential**

- Enough formalism! How to study this all in practice?
- The Teller potential is both solvable and generic

$$\hat{H} = -\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2}$$

### with the eigenstates

$$\phi_k^{\pm}(x) = \sqrt{\frac{k \sinh(\pi k)}{\cosh(2\pi k) + \cosh(2\pi\nu)}} P_N^{ik}(\pm \tanh x) \qquad N = -\frac{1}{2} + i\nu, \nu = \frac{1}{2\hbar}\sqrt{8mV_0 - \hbar^2}$$

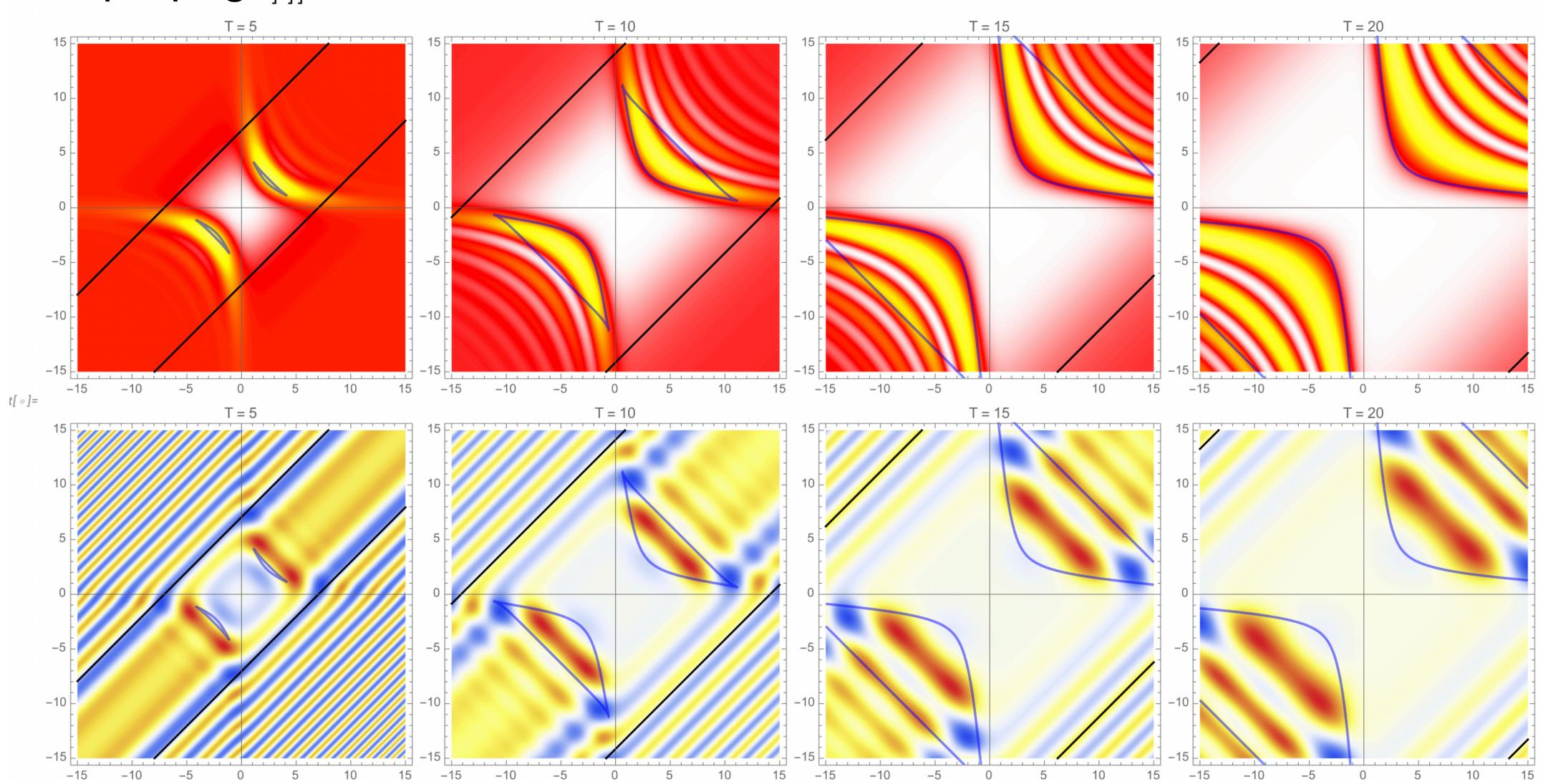
$$+\frac{V_0}{\cosh^2 x}$$

$$\phi_{k}^{+}(x_{0})^{*} + \phi_{k}^{-}(x_{1})\phi_{k}^{-}(x_{0})^{*}) e^{-\frac{i\hbar k^{2}T}{2m}} dk$$

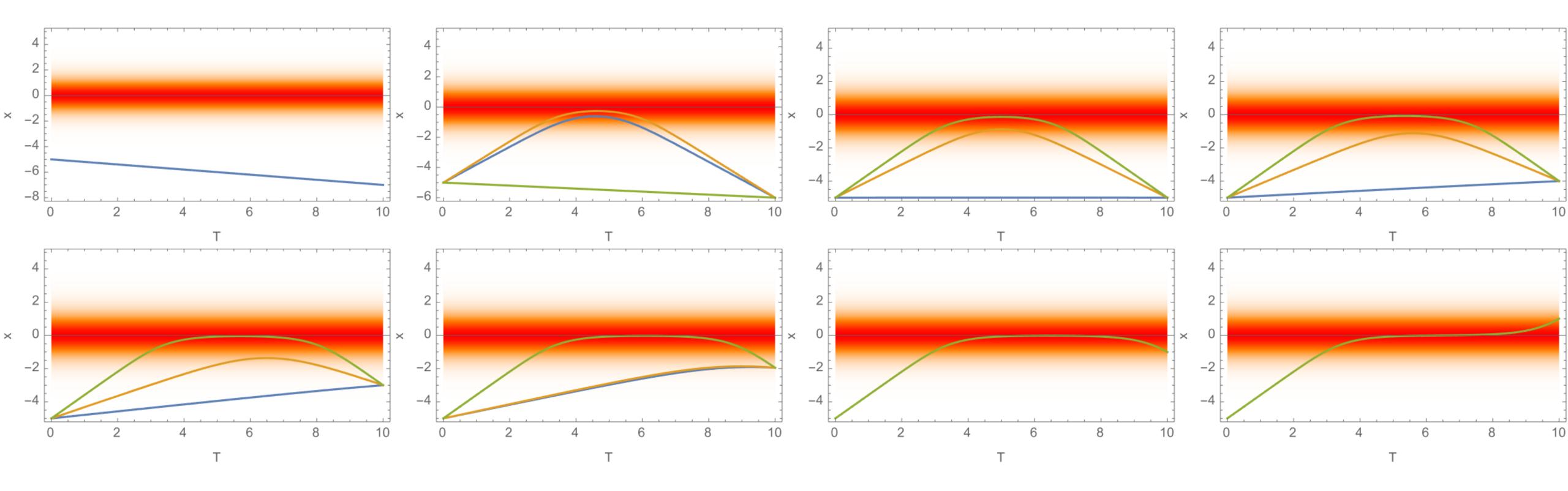
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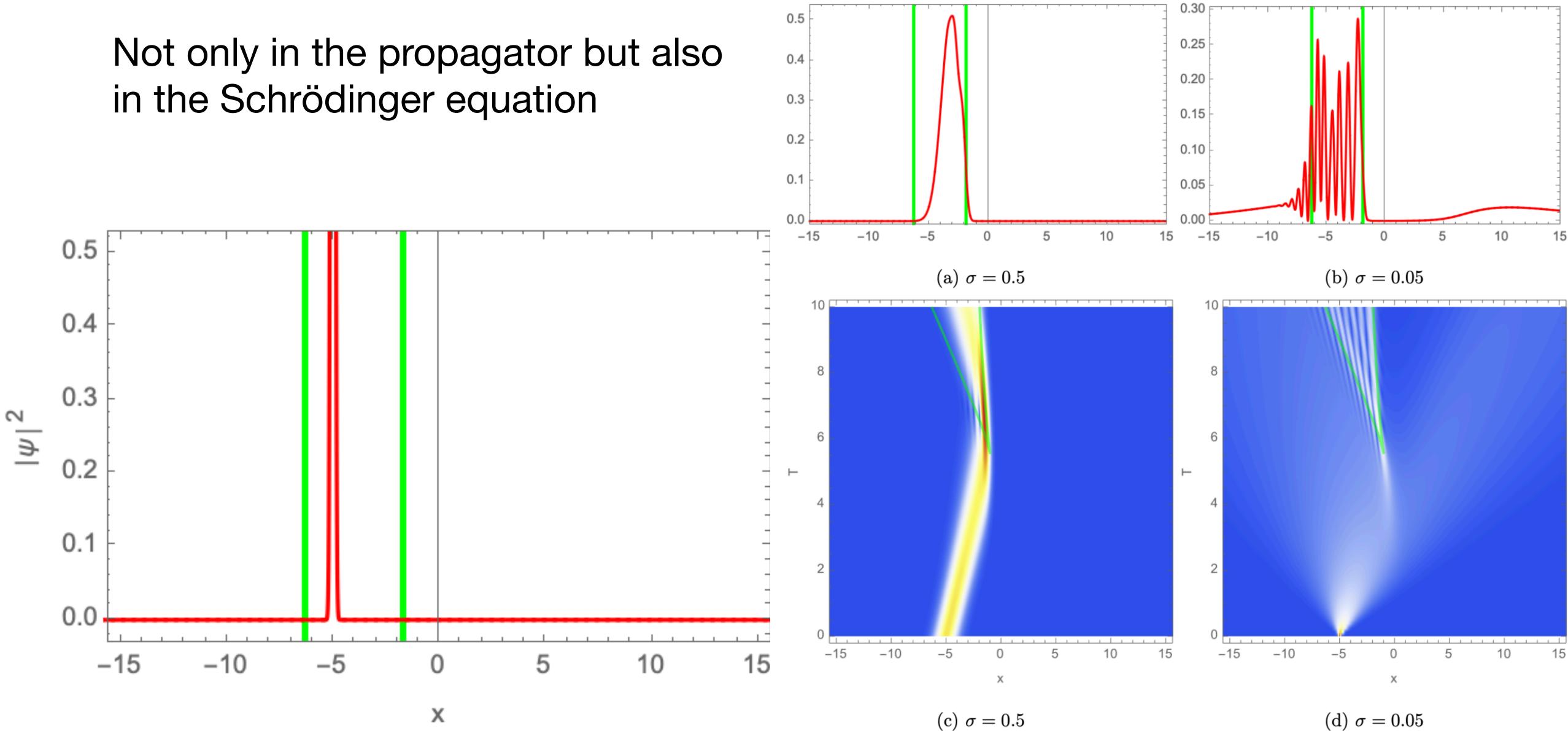
### **Caustics in the Rosen-Morse Barrier**

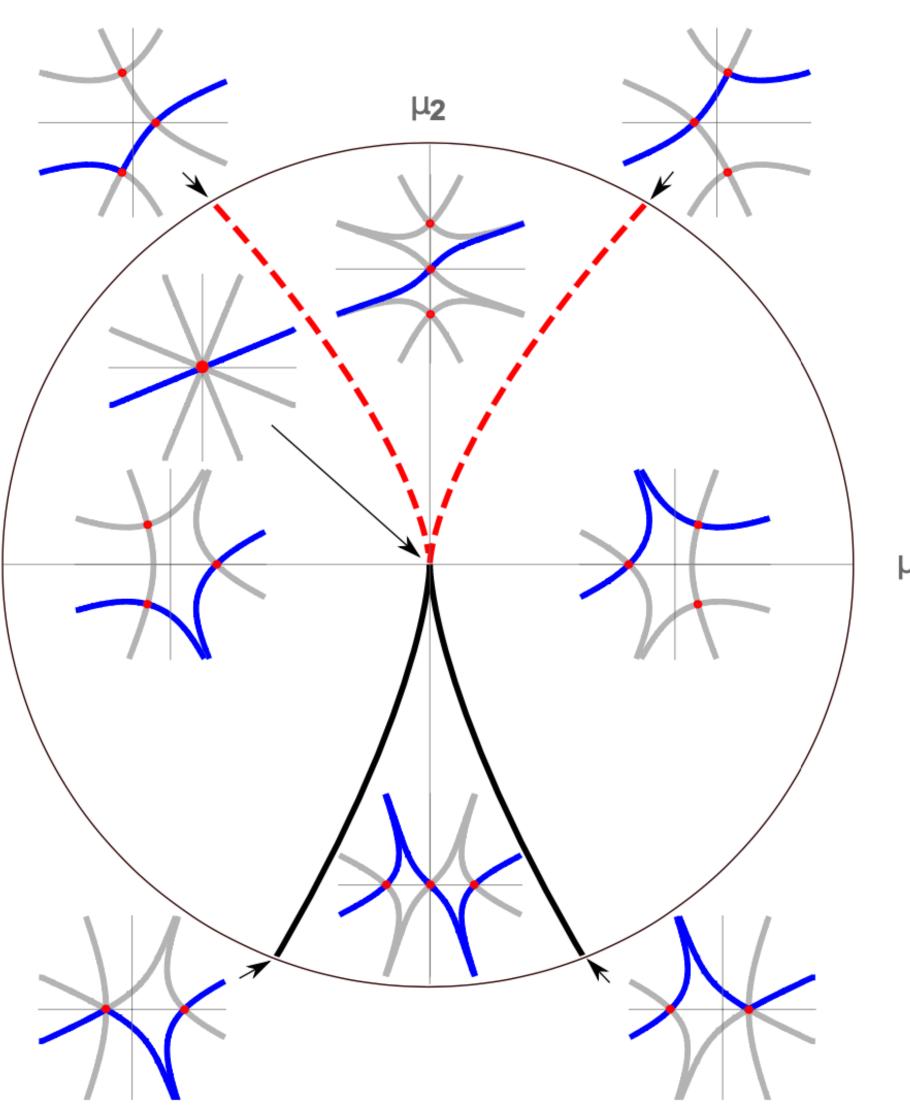
### The propagator as a function of time



The potential barrier: there are always either 1 or 3 real classical paths

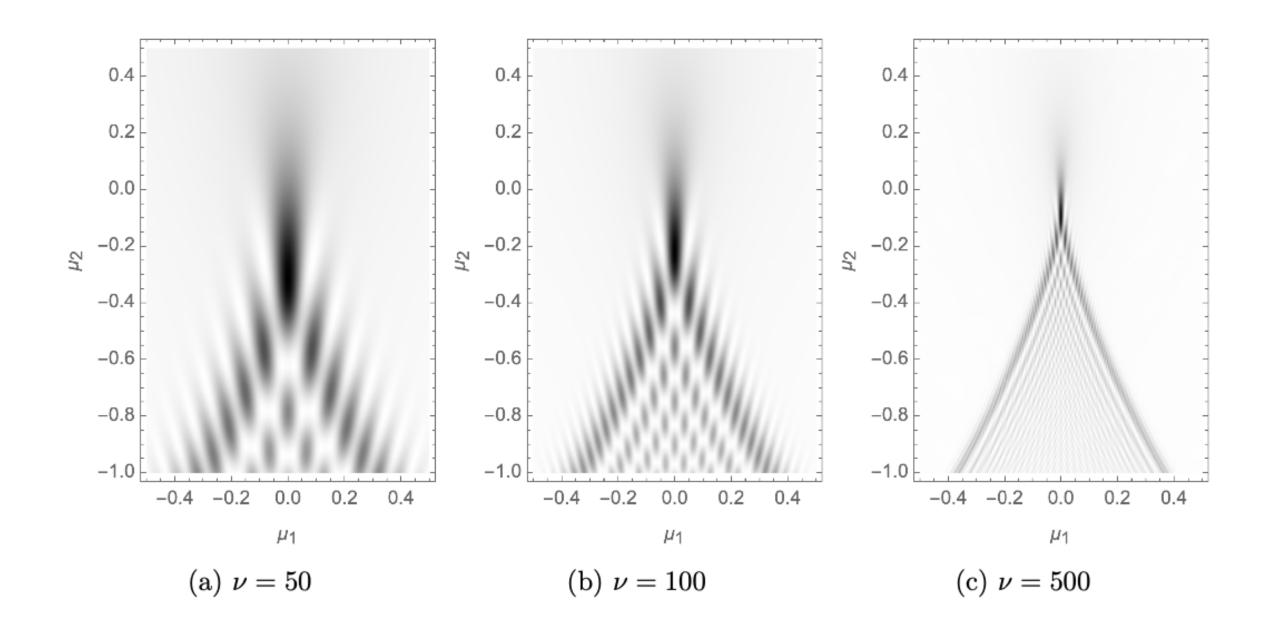


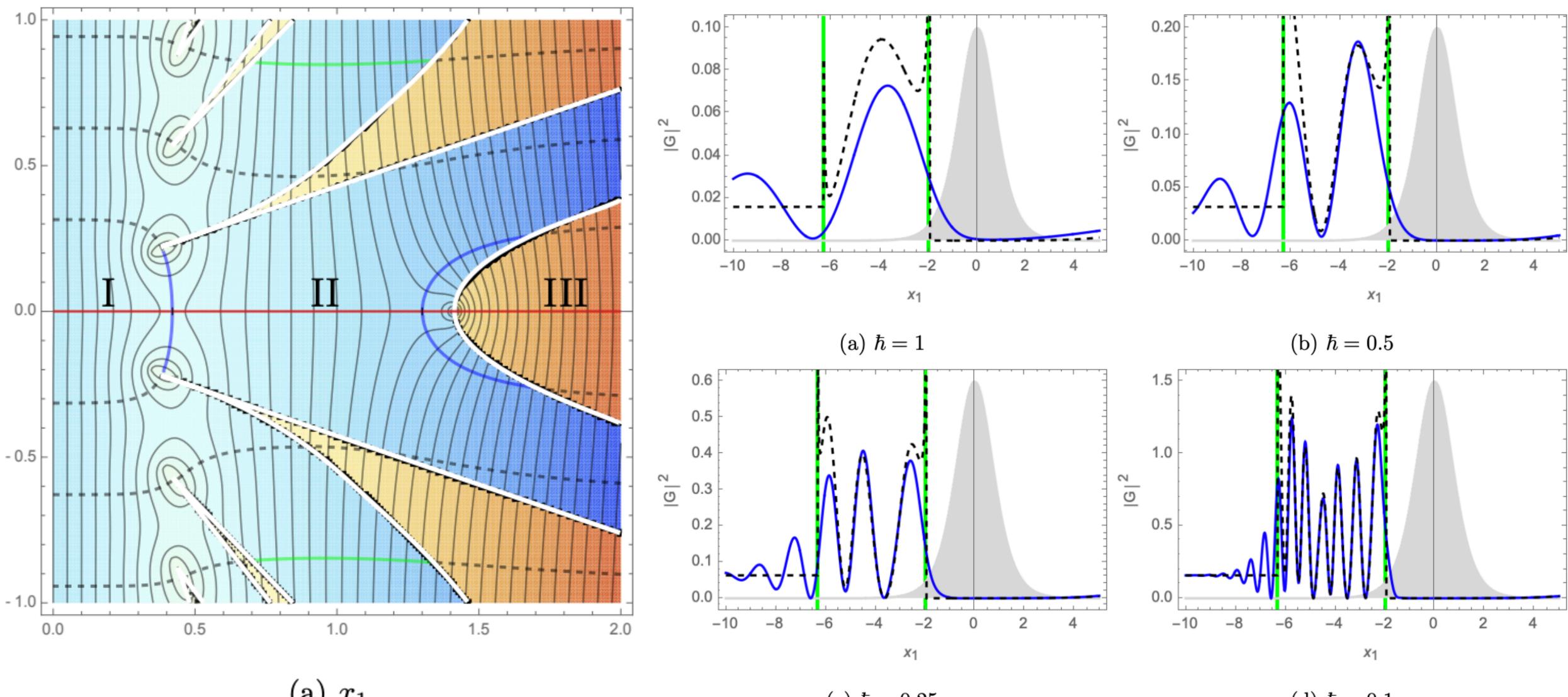




μ1

#### **Caustics and Stoke's lines** $\Psi(\mu,\nu) = \int e^{i\nu(x^4/4 + \mu_2 x^2/2 + \mu_1 x)} dx$

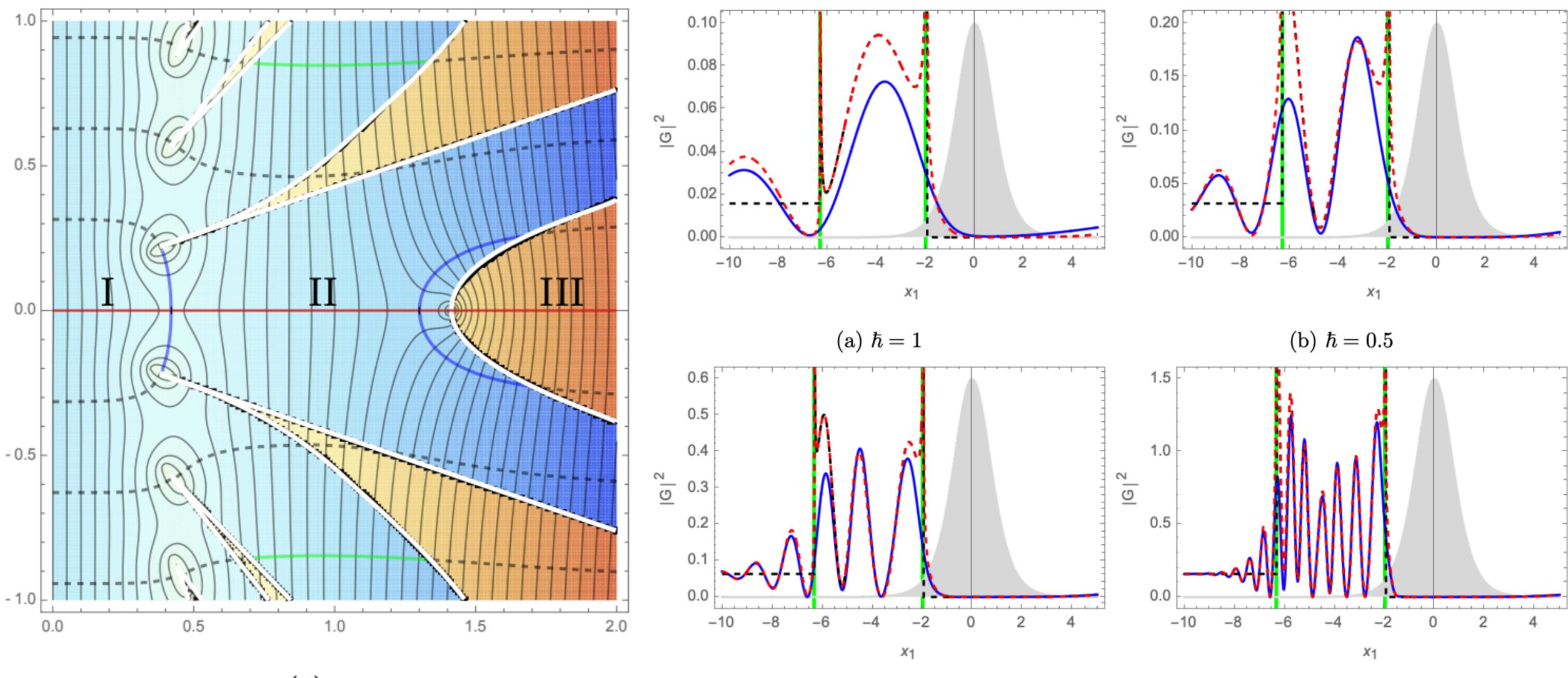




(a)  $x_1$ 

(c)  $\hbar = 0.25$ 

(d)  $\hbar = 0.1$ 



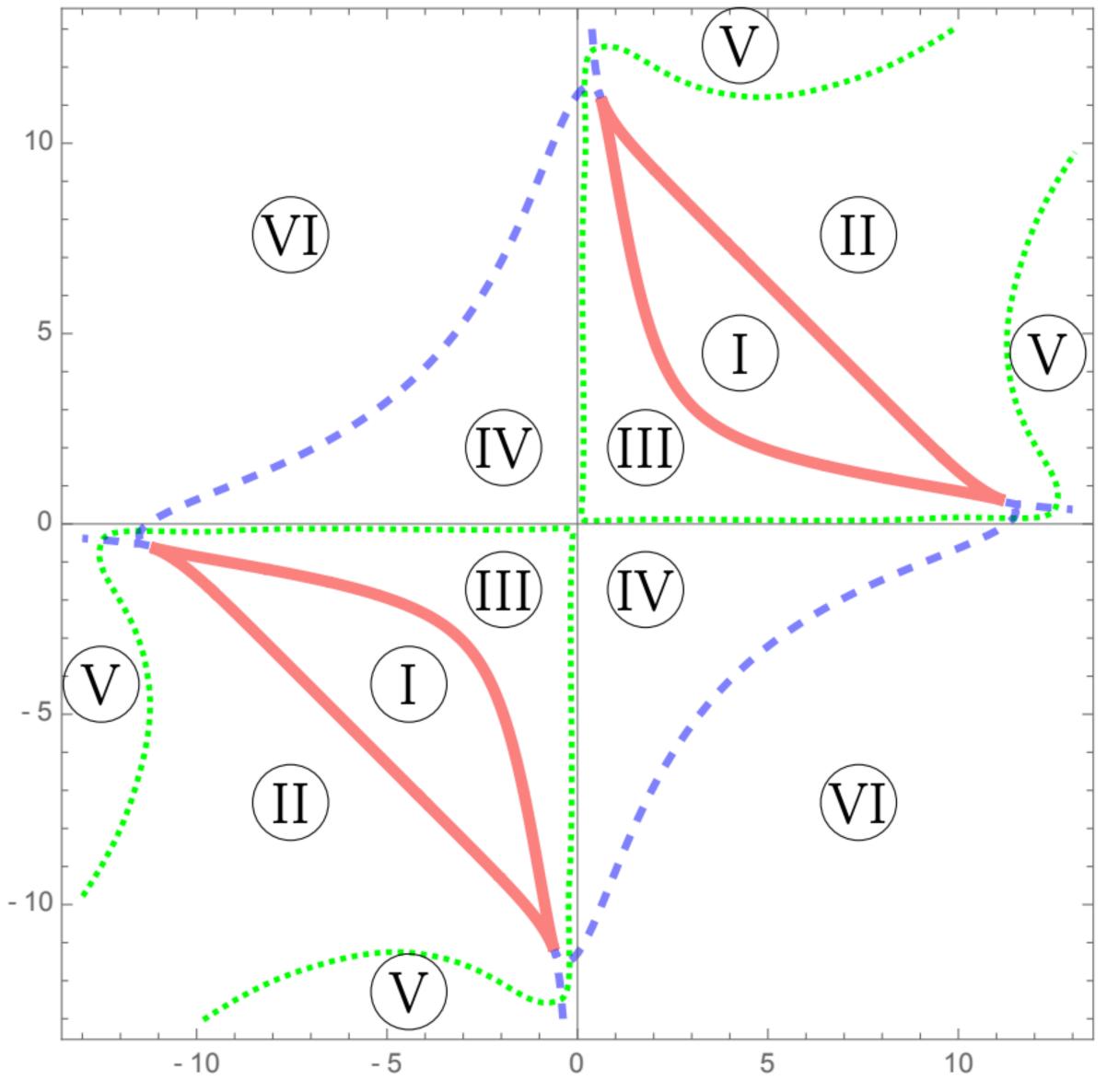
(a)  $x_1$ 

(c)  $\hbar = 0.25$  (d)  $\hbar = 0.1$ 

Caustics, Stoke's phenomena and singularity crossings, organize the classical paths solving the boundary value problem corresponding to the real-time Feynman path integral

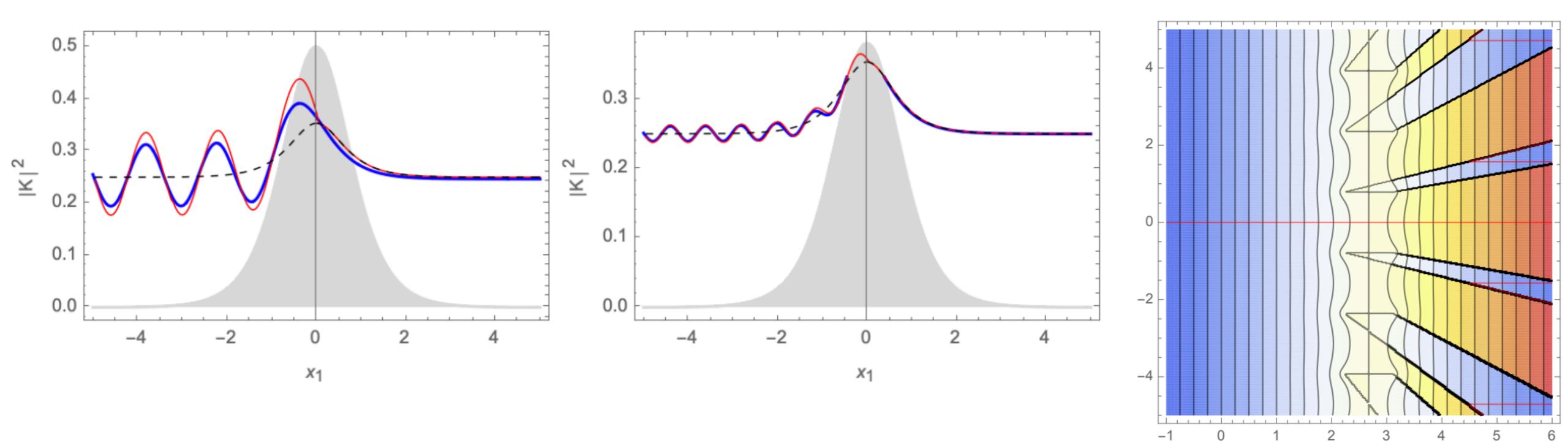
By tracking the global structure, we define the path integral

We find six qualitatively different regions



## Energy propagator

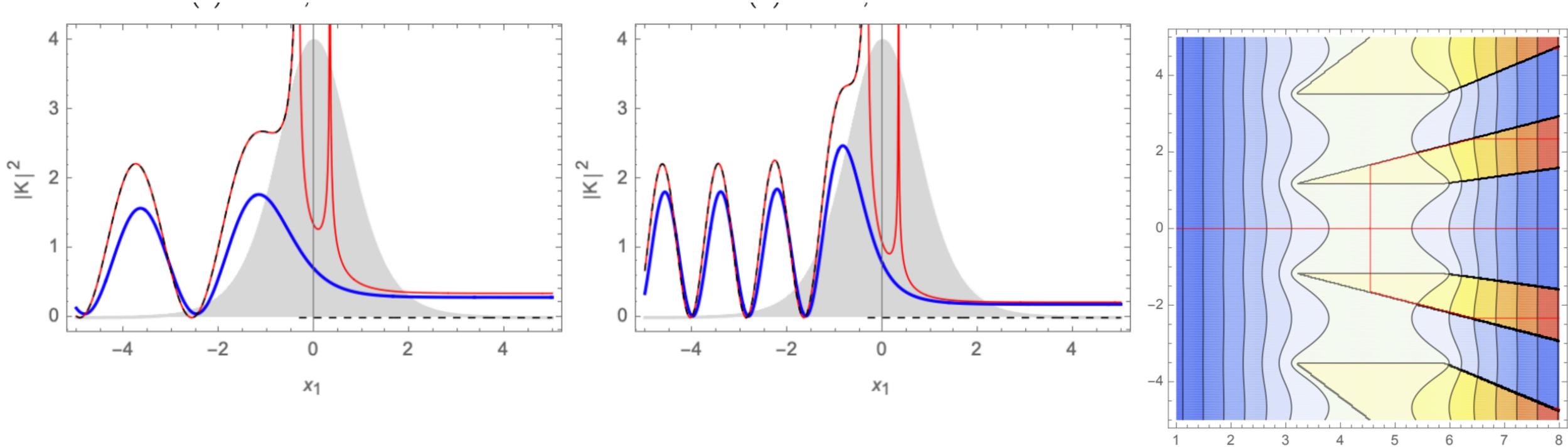
$${}_{K[x_1,x_0;E]}$$
 "="  $\int_0^\infty \int_{x(0)=x_0}^{x(T)=x_1} e^{i(S[x]+ET)/\hbar} \mathcal{D}x \,\mathrm{d}T$   $\qquad rac{\delta S}{\delta x} = 0 \,, \quad E + rac{\partial S}{\partial T} = 0 \,,$ 



Classically forbidden behaviour is most easily seen in the energy propagator

## Energy propagator

$$K[x_1, x_0; E] = \int_0^\infty \int_{x(0)=x_0}^{x(T)=x_1} e^{i(S[x]+ET)/\hbar} \mathcal{D}x \,\mathrm{d}T \qquad \frac{\delta S}{\delta x} = 0, \quad E + \frac{\partial S}{\partial T} = 0,$$

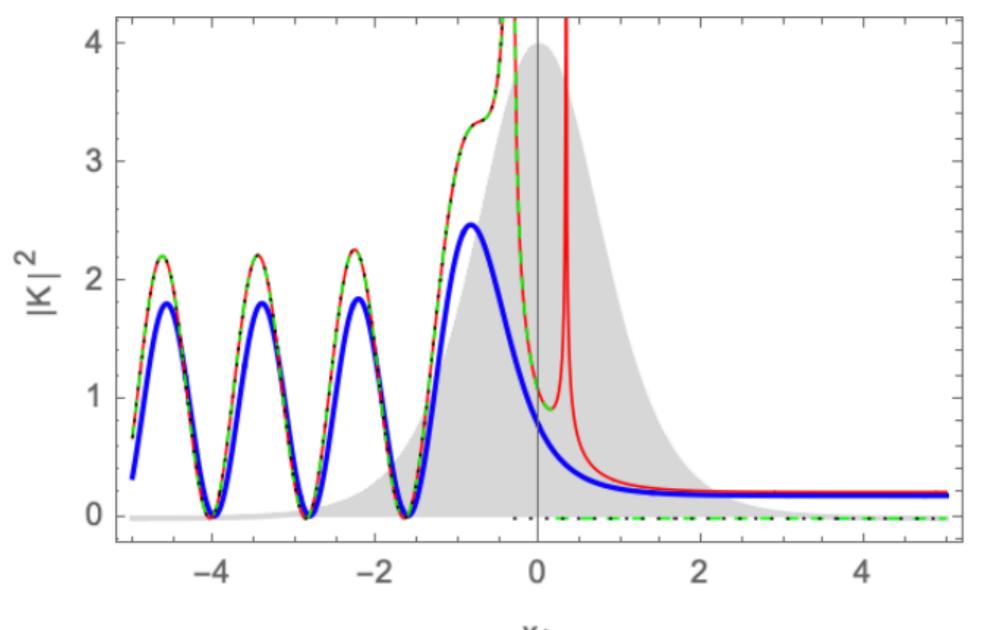


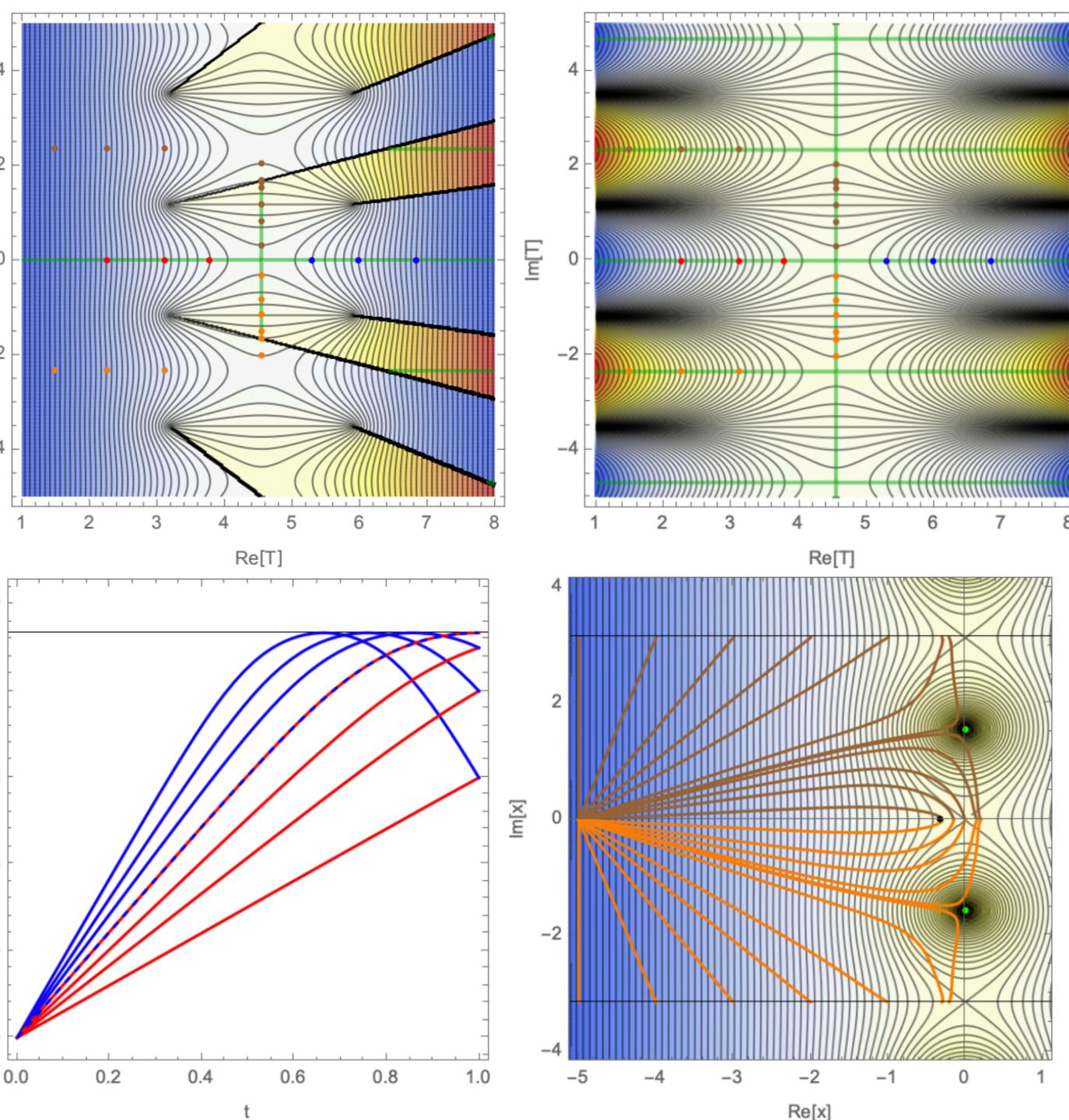
Classically forbidden behaviour is most easily seen in the energy propagator

### Energy propagator

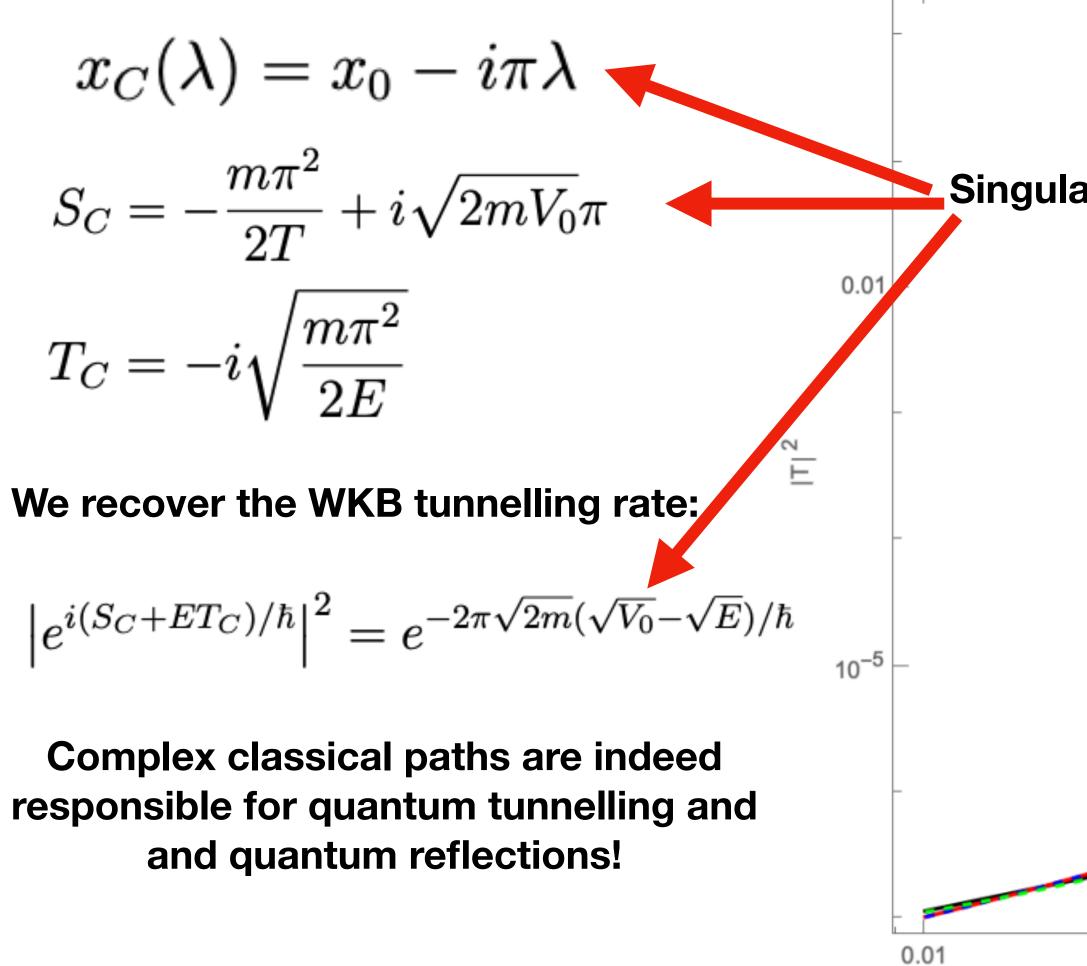
As we change the final position, the classical paths coalesce in a caustic and subsequently undergo a singularity crossing and complex caustic after with the classical path no longer solves the boundary value problem

m[T]





# Quantum tunnelling rate



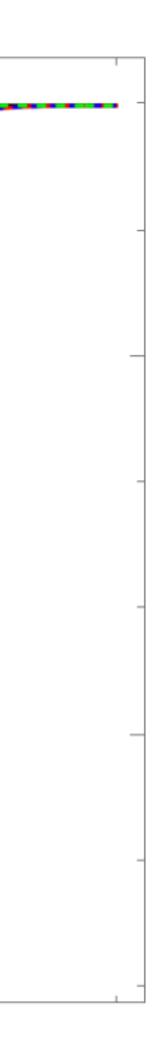
#### Classically forbidden behaviour is most easily seen in the energy propagator

Singularity crossing



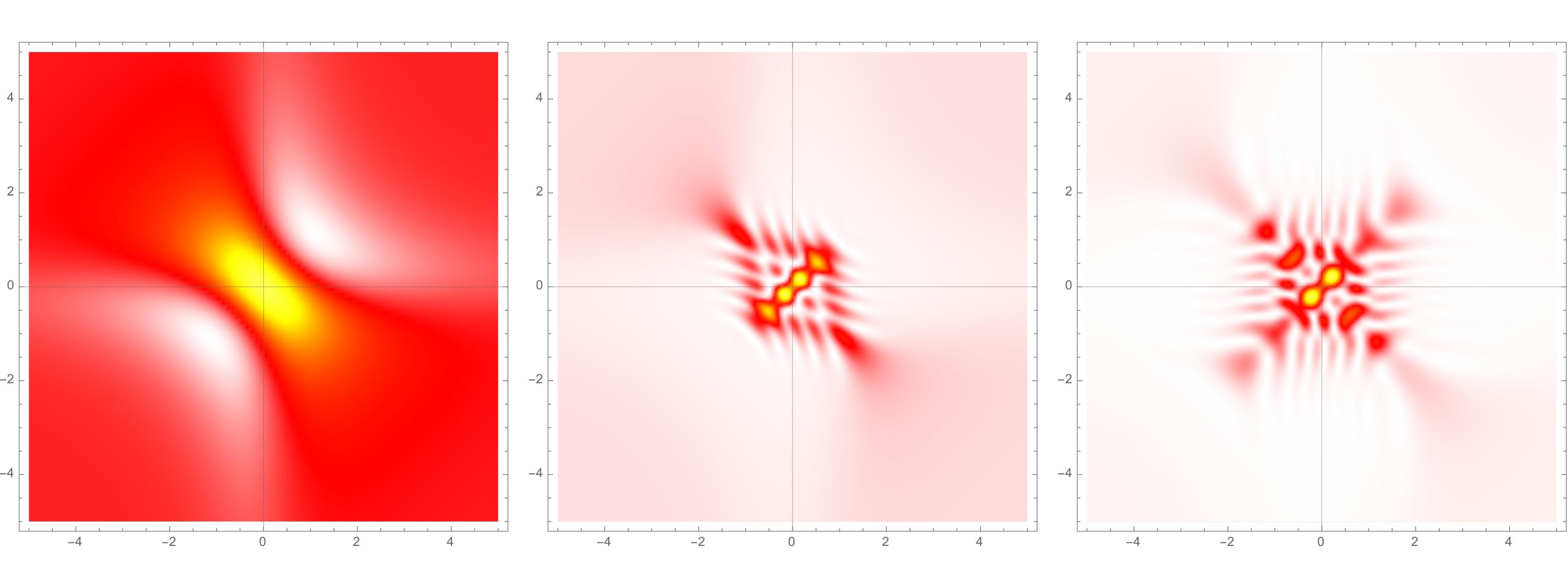
0.10

0.05



## Caustics in the Rosen-Morse Well

#### The propagator consists of an interference pattern structured by caustics!



# Summary

- Interference is central to our understanding of the quantum universe
- We propose a new definition of the real-time path integral using Picard-Lefschetz theory
- Instantons go beyond complex classical paths! Singularity crossings are central to a real-time description of quantum tunnelling
- We hope that this will be useful in quantum mechanics, quantum field theory, and Lorentzian quantum cosmology

