

# Quantization of diffeomorphism invariant theories of connections with a non-compact structure group—an example

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# Outline

- 1 Problem: non-compact gauge groups
- 2 Solution: projective techniques by Kijowski
- 3 Application: quantization of a 'toy theory'

## Motivation

The main motivation is a wish to quantize GR using *complex* Ashtekar variables whose gauge group is  $SL(2, \mathbb{C})$ .

GR can be quantized using *real* Ashtekar-Barbero variables (gauge group  $SU(2)$ ) but:

- it lacks Lorentz invariance;
- there is a quantization ambiguity (Immirzi parameter);
- the application of the real variables makes the scalar constraint much more complicated.

## $SL(2, \mathbb{C})$ as a gauge group

Canonical quantization of GR expressed in terms of the complex Ashtekar variables faces two obstacles:

①  $SL(2, \mathbb{C})$  is *non-compact*:

this makes the task of constructing the *space of quantum states* for the theory very difficult;

②  $SL(2, \mathbb{C})$  is *complex*:

it implies the existence of some complicated constraints called *reality conditions* which have to be included in the structure of the resulting quantum theory.

We are going to focus solely on the *non-compactness* problem.

## Space of quantum states built via inductive techniques

Consider a principle bundle  $P(\Sigma, G)$  and connections on it.

Given graph  $\gamma$  embedded in  $\Sigma$ , define a Hilbert space

$$\mathcal{H}_\gamma := L^2(\mathcal{A}_\gamma, d\mu_\gamma),$$

where  $\mathcal{A}_\gamma \cong G^{\text{number of edges of } \gamma}$  is a space of holonomies along the edges of  $\gamma$ .

For every pair  $\gamma' \geq \gamma$  define an embedding

$$p_{\gamma'\gamma} : \mathcal{H}_\gamma \hookrightarrow \mathcal{H}_{\gamma'}$$

such that  $\{\mathcal{H}_\gamma, p_{\gamma'\gamma}\}$  is an inductive family. Then

Space of quantum states := inductive limit of  $\{\mathcal{H}_\gamma, p_{\gamma'\gamma}\}$ .

## Inductive techniques fail in the non-compact case

So far we know how to define embeddings  $\{p_{\gamma'\gamma}\}$  only when the gauge group  $G$  is *compact*.

This construction employs the fact that the constant function  $I$  of the value equal to  $1$  is square-integrable over any  $G^N$ . If

$$\gamma' := \gamma \cup \gamma_0 \geq \gamma, \quad \gamma \cap \gamma_0 = \emptyset$$

then

$$\mathcal{H}_\gamma \ni \Psi \mapsto p_{\gamma'\gamma}(\Psi) = \Psi \otimes I \in \mathcal{H}_\gamma \otimes \mathcal{H}_{\gamma_0} = \mathcal{H}_{\gamma'}.$$

In the *non-compact* case this particular construction breaks down and we *do not* know how to use the inductive techniques to build the space of quantum states.

## Systems and subsystems

A solution to the problem comes from [Kijowski, 1977]. Given a pair

$$\gamma' \geq \gamma$$

of graphs, Kijowski treats them as a pair

system—subsystem

- with the configuration spaces  $\mathcal{A}_{\gamma'}$  and  $\mathcal{A}_{\gamma}$ , respectively.
- and the Hilbert spaces  $\mathcal{H}_{\gamma'}$  and  $\mathcal{H}_{\gamma}$ , resp.

If so, then it is *not natural* to look for the embedding

$$\mathcal{H}_{\gamma'} \xleftarrow{P_{\gamma'\gamma}} \mathcal{H}_{\gamma}$$

since quantum mechanics does not provide any natural embedding of the Hilbert space of the subsystem into the Hilbert space of the system.

## Mixed states and partial trace projection

Thus, given a pair system—subsystem

$$\gamma' \geq \gamma,$$

instead of the embedding

$$\mathcal{H}_{\gamma'} \xleftarrow{P_{\gamma'\gamma}} \mathcal{H}_{\gamma}$$

we should employ a projection

$$\mathcal{D}_{\gamma'} \xrightarrow{\pi_{\gamma\gamma'}} \mathcal{D}_{\gamma}$$

from the space  $\mathcal{D}_{\gamma'}$  of *mixed* states of the system  $\gamma'$  onto the space  $\mathcal{D}_{\gamma}$  of *mixed* states of its subsystem  $\gamma$ .

The projection  $\pi_{\gamma\gamma'}$  is defined by so-called *partial trace*.



## Space of quantum states built via projective techniques

Consequently, we obtain a *projective* family

$$\{\mathcal{D}_\gamma, \pi_{\gamma\gamma'}\}$$

and define the *space of quantum states*

$$\mathcal{D} := \text{projective limit of } \{\mathcal{D}_\gamma, \pi_{\gamma\gamma'}\}.$$

Note that  $\mathcal{D}$  is *not* a Hilbert space, but is a *convex* set!

Question: does  $\mathcal{D}$  correspond to the space of positive linear functionals on a  $C^*$ -algebra?

## Algebra of quantum observables

Let  $\mathcal{B}_\gamma$  be the  $C^*$ -algebra of bounded operators on  $\mathcal{H}_\gamma$ . Then  $\mathcal{D}_\gamma$  coincides with the set of (normal) states on  $\mathcal{B}_\gamma$ .

Consequently, given  $\gamma' \geq \gamma$ , there is an embedding (dual to the projection  $\pi_{\gamma\gamma'}$ )

$$\pi_{\gamma\gamma'}^* : \mathcal{B}_\gamma \rightarrow \mathcal{B}_{\gamma'}$$

such that  $\{\mathcal{B}_\gamma, \pi_{\gamma\gamma'}^*\}$  is an inductive family.

The states in  $\mathcal{D}$  can be naturally evaluated on the inductive limit  $\mathcal{B}$  of the family, hence we call  $\mathcal{B}$  the  $C^*$ -algebra of *quantum observables* [Kijowski, 1977].

Thus the resulting quantum model consists of the spaces  $\mathcal{D}$  and  $\mathcal{B}$  *without* any Hilbert space!

## 'Toy theory'—Lagrangian formulation

Let  $\mathcal{M} \times \mathbb{R}$  be a principle bundle over 4-dimensional 'spacetime'  $\mathcal{M}$  with the additive group  $\mathbb{R}$  as the structure group.

The 'toy theory' is defined by the following action [Okołów, 2006]:

$$S[A, \sigma, \Psi] := \int_{\mathcal{M}} \sigma \wedge F - \frac{1}{2} \Psi \sigma \wedge \sigma,$$

where

- $A$  is a connection on the bundle and  $F = dA$  is its curvature form;
- $\sigma$  is a two-form valued in the Lie algebra of  $\mathbb{R}$ ;
- $\Psi$  is a Lagrange multiplier (a real function on  $\mathcal{M}$ ).

## Motivation—Plebański action for GR

Let  $\mathcal{M} \times SL(2, \mathbb{C})$  be a principle bundle.

Plebański action is defined as follows:

$$S[A^A_B, \Sigma^A_B, \Psi_{ABCD}] = \int_{\mathcal{M}} \Sigma^{AB} \wedge F_{AB} - \frac{1}{2} \Psi_{ABCD} \Sigma^{AB} \wedge \Sigma^{CD},$$

where

- $A^A_B$  is a connection on the bundle and  $F^A_B$  is its curvature form;
- $\Sigma^A_B$  is a two-form valued in the Lie algebra of  $SL(2, \mathbb{C})$ ;
- $\Psi_{ABCD} = \Psi_{(ABCD)}$  is a Lagrange multiplier (a symmetric spinor field on  $\mathcal{M}$ ).

## 'Toy theory'—Hamiltonian formulation

Assume that  $\mathcal{M} = \Sigma \times \mathbb{R}$  and that coordinates  $(x^i, x^0)$  are adapted to the decomposition.

The Hamiltonian is of the form:

$$H[\tilde{E}^i, A_i, C, N^i] = - \int_{\Sigma} d^3x (C \partial_i \tilde{E}^i + N^i \tilde{E}^j F_{ij}),$$

where

- $\tilde{E}^i := \frac{1}{2} \tilde{\epsilon}^{ijk} \sigma_{jk}$  is the momentum variable;
- $A_i$  is the configuration variable;
- $C, N^i$  are Lagrange multipliers.

Note that

- the Hamiltonian is a sum of Gauss and vector constraints;
- there is *no* scalar constraint!

## Interpretation of the 'toy theory'

Let

- the fields  $(\tilde{E}^i{}_B, A_j{}^C{}_D)$  on  $\Sigma$  be the *complex* Ashtekar variables valued in the Lie algebra  $sl(2, \mathbb{C})$ ;
- $\tilde{\mathbb{R}}$  be a subgroup of  $SL(2, \mathbb{C})$  isomorphic to  $\mathbb{R}$ .

We restrict

- the phase space of GR to fields  $(\tilde{E}^i{}_B, A_j{}^C{}_D)$  valued in the Lie algebra of  $\tilde{\mathbb{R}}$ ;
- the gauge group  $SL(2, \mathbb{C})$  to  $\tilde{\mathbb{R}}$ .

The restricted theory just defined coincides with the 'toy theory'!

Thus the 'toy theory' describes  $1 + 1$  *degenerate* sector of GR [Jacobson, 1996].

## Quantum states of the 'toy theory'

Let  $\mathcal{L}$  be a finite set of *analytic* loops embedded in  $\Sigma$  and

$$\mathcal{A}_{\mathcal{L}} \cong \mathbb{R}^{\text{number of loops in } \mathcal{L}}$$

be the set of holonomies along the loops in  $\mathcal{L}$ . Define

$$\mathcal{H}_{\mathcal{L}} := L^2(\mathcal{A}_{\mathcal{L}}, d\mu_{\text{Lebesgue}}).$$

Given  $\mathcal{L}' \geq \mathcal{L}$  i.e.  $\mathcal{L}' \supset \mathcal{L}$ , we have

$$\mathcal{A}_{\mathcal{L}'} = \mathcal{A}_{\mathcal{L}' \setminus \mathcal{L}} \times \mathcal{A}_{\mathcal{L}} \implies \mathcal{H}_{\mathcal{L}'} = \mathcal{H}_{\mathcal{L}' \setminus \mathcal{L}} \otimes \mathcal{H}_{\mathcal{L}}.$$

Hence the projection  $\pi_{\mathcal{L}\mathcal{L}'} : \mathcal{D}_{\mathcal{L}'} \rightarrow \mathcal{D}_{\mathcal{L}}$  is given by the partial trace with respect to  $\mathcal{H}_{\mathcal{L}' \setminus \mathcal{L}}$ .

Thus we obtain the projective family  $\{D_{\gamma}, \pi_{\gamma\gamma'}\}$ , and the space  $\mathcal{D}$  of *Yang-Mills gauge invariant* quantum states (note that each holonomy along a loop is gauge invariant).

## Quantum 'toy theory'

There is a  $C^*$ -algebra  $\mathcal{B}$  of quantum observables associated with  $\mathcal{D}$ .

The observables in  $\mathcal{B}$  are *Yang-Mills gauge invariant* therefore we treat the pair  $(\mathcal{D}, \mathcal{B})$  as the quantum 'toy theory' with the Gauss constraint solved.

To solve the vector constraint we need to find *diffeomorphism invariant* states in  $\mathcal{D}$ . It turned out that the set  $\mathcal{D}_{\text{diff}} \subset \mathcal{D}$  of such states is quite large.

The resulting quantum 'toy theory' is a pair  $(\mathcal{D}_{\text{diff}}, \mathcal{B})$ .

Remark: there are no non-trivial diff. invariant observables in  $\mathcal{B}$ , however each expectation value

$$\rho(b), \text{ where } \rho \in \mathcal{D}_{\text{diff}} \text{ and } b \in \mathcal{B}$$

is diff. invariant.






## Summary

- Kijowski's projective techniques may, hopefully, solve the non-compactness problem in GR;
- in particular, they were successfully applied to the 'toy theory' whose gauge group is non-compact.

### Warnings!!!

- $SL(2, \mathbb{C})$  unlike  $\mathbb{R}$  is *non-Abelian*, hence it generates non-trivial gauge transformations and the non-vanishing scalar constraint!!!
- What about the reality conditions???

## References

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-  Jacobson T 1996 **1 + 1** Sector of **3 + 1** Gravity *Class. Quan. Grav.* **13** L111–L116; Erratum-ibid. **16** 3269 *Preprint gr-qc/9604003*